

## Seasonal Tracer Subduction in the Subpolar North Atlantic Driven by Submesoscale Fronts

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### Key Points:

- Surface strain and vorticity criteria can be used to identify submesoscale fronts
- The tracer depth injection correlates with the surface fraction of fronts, regardless of the mixed layer depth evolution
- The submesoscale fronts contribute to ~40% of the total tracer vertical advective subduction in winter, and less than 1% in summer

### Supporting Information:

Supporting Information may be found in the online version of this article.

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**Abstract** Submesoscale flows (0.1–10 km) are often associated with large vertical velocities, which can have a significant impact on the transport of surface tracers, such as carbon. However, global models do not adequately account for these small-scale effects, which still require a proper parameterization. In this study, we introduced a passive tracer into the surface mixed layer (ML) of a northern Atlantic Ocean simulation based on the primitive-equation model CROCO, with a horizontal resolution of  $\Delta x = 800$  m, aiming to investigate the seasonal submesoscale effects on vertical transport. Using surface vorticity and strain rate criteria, we identified regions with submesoscale fronts and quantified the associated subduction, that is the export of tracer below the ML depth. The results suggest that the tracer vertical distribution and the contribution of frontal subduction can be estimated from surface strain and vorticity. Notably, we observed significant seasonal variations. In winter, the submesoscale fronts contribute up to 40% of the total vertical advective transport of tracer below the ML, while representing only 5% of the domain. Conversely, in summer, fronts account for less than 1% of the domain and do not contribute significantly to the transport below the ML. The findings of this study contribute to a better understanding of the seasonal water subduction due to fronts in the region.

**Plain Language Summary** Oceanic fronts are dynamical structures characterized by enhanced gradients of variables such as temperature or density. These structures are prominent and ubiquitous at the ocean surface and can reach particularly small scales, down to a few kilometers. They are known to play an important role in the vertical exchange of carbon, nutrients or heat between the surface and the ocean interior. Due to their small scale and short lifecycle, they have not been extensively sampled and a systematic quantification of their impact is missing. In this study, we set up a numerical simulation of the ocean circulation in the North Atlantic that resolves small-scale fronts. We release a tracer in upper layer of the ocean and analyze how it is transported at depth. Using criteria based on the flow velocity, we quantify the fraction of the ocean surface covered by fronts. We find that the average depth at which the tracer is injected depends on the prevalence of fronts, which itself shows a strong seasonality. In winter, fronts cover less than 5% of the surface area, but contribute up to 40% of the tracer vertical transport. Conversely, in summer, fronts cover less than 1% of the area and have no significant effect on tracer vertical transport. This study helps to better understand the seasonal role of fronts in vertical water transport. This paves the way for addressing questions related to how tracers such as carbon are distributed in the world's oceans.

## 1. Introduction

There is a growing set of evidence that submesoscale physical processes matter for the transport of oceanic tracers such as heat, carbon and nutrients (Boyd et al., 2019; Klein & Lapeyre, 2009; Lacour et al., 2019; Lévy et al., 2018; Llorc et al., 2018; Omand et al., 2015; Stukel et al., 2017). Submesoscale phenomena are characterized by frontal and filamentary structures with lateral scales ranging from 0.1 to 10 km. These structures typically arise from baroclinic instability at the ocean surface, exhibiting Rossby ( $Ro = \zeta/f$ ) numbers on the order of 1 (Lévy et al., 2024). Frontogenesis, responsible for ageostrophic flow patterns known as secondary circulation, induces strong and deep vertical velocities localized precisely at fronts (Gula, Taylor, et al., 2021; McWilliams, 2021). Fronts are characterized by a dense cyclonic side with downward velocities and a light anticyclonic side with upward velocities. In the context of the carbon cycle, this results in a double contribution: On the one hand, it drives nutrients, essential for the primary production, from the (interior) twilight zone into the euphotic layer (Lapeyre & Klein, 2006; Lévy et al., 2018; Mahadevan, 2016). On the other hand, it facilitates the subduction of surface carbon (transport below the ML) along isopycnal pathways, effectively storing it for

extended periods (Freilich & Mahadevan, 2021; Mahadevan et al., 2020; Wengrat et al., 2020). Furthermore, fronts actively participate in the upward heat transport from the ocean interior to the surface and are essential ingredients of the Earth's heat budget (Siegelman et al., 2020).

While it is clear that fronts play a significant role in tracer budgets, the vertical transport induced by submesoscale processes remains unresolved and is not yet parameterized in climate models (Bopp et al., 2013; Mahadevan et al., 2020). Overcoming this challenge is one of the major hurdles in ocean modeling (Fox-Kemper et al., 2019). However, although there has been recent interest in quantifying the submesoscale contribution to tracer transport, there is still no clear consensus on its impact. A major obstacle is the difficulty of sampling submesoscale processes using remote sensing and in situ observational instruments. Indeed, satellite altimetry can only detect structures larger than 100 km (Chelton et al., 2011), and the measurement of vertical transport due to small-scale phenomena in the ocean remains a challenge (Mahadevan et al., 2020). The computation of submesoscale velocity gradient generally requires multiple ships, autonomous underwater vehicles, or surface drifters (Gula, Taylor, et al., 2021; Shcherbina et al., 2013). With respect to numerical simulations, it has been shown that fine-scale ocean regional circulation models with subkilometer horizontal grid spacing can accurately capture submesoscale dynamics (Capet et al., 2008; Mahadevan & Tandon, 2006; Pietri et al., 2021). However, high-resolution modeling is constrained by computational costs (Lévy et al., 2024), resulting in spatial limitations and/or idealized setups.

Various methodologies have been proposed to estimate the frontal contribution to vertical exchanges, particularly in the context of carbon export. Balwada et al. (2018) estimated that the subduction could be doubled by comparing models with 20 and 1 km horizontal resolution. Uchida et al. (2019) quantified the ageostrophic contribution using spectral analysis and found that submesoscale structures could account for about a third of the total fluxes. In Freilich and Mahadevan (2021), Lagrangian particles were used to identify particles trapped in submesoscale structures. Their findings showed that 7.7% of the particles are subducted from the ML, with subduction occurring mainly in localized regions along fronts. Based on glider observations during the North Atlantic bloom and supported by numerical modeling, Omand et al. (2015) showed that submesoscale structures can contribute up to half of the total spring export of particulate organic carbon (POC). In a recent study, Balwada et al. (2021) used Joint Probability Density Function (JPDF) of surface vorticity and strain rate on an idealized fine-scale model of the Antarctic Circumpolar Current to identify fronts. They showed that submesoscale fronts, although occupying only about 5% of the surface domain, could potentially account for up to 20% of the vertical transport at the ML Depth (MLD). This wide range of results underlines the complexity and considerable uncertainties associated with this topic.

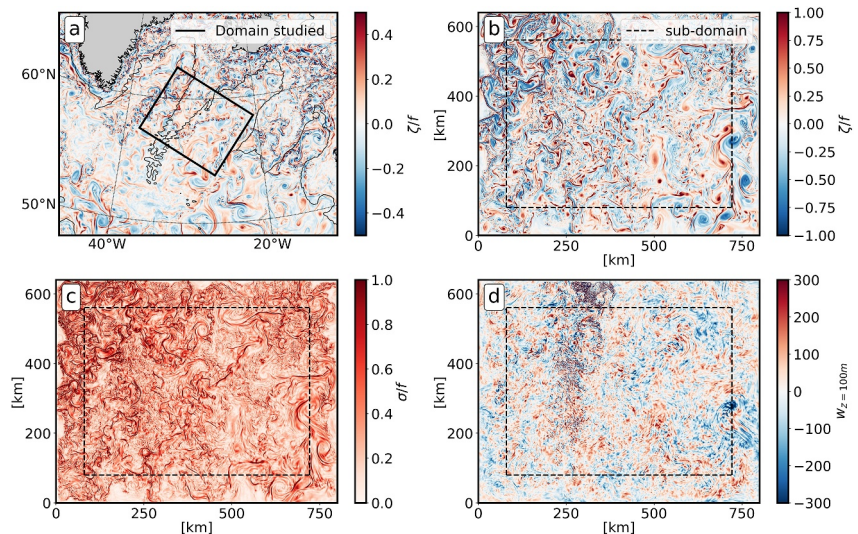
Despite this growing body of literature, there is a notable gap in knowledge as most studies tend to overlook the seasonal variability of these phenomena. However, it is now clear that submesoscales exhibit a strong seasonal cycle (Berta et al., 2020; Callies et al., 2015; Rocha et al., 2016). Furthermore, the modulation of tracer export on seasonal time scales has recently been demonstrated (Cao & Jing, 2022; Mahadevan et al., 2020). Therefore, the primary objective of our study is to assess the seasonal impact of submesoscale processes on the vertical transport of a passive tracer released in the surface mixed layer (ML). We use a primitive-equation model in a regional configuration that allows submesoscales. We specifically focus on the North Atlantic subpolar gyre, a region known for significant seasonal variations. Moreover, this region is associated with intense phytoplankton blooms in spring when submesoscale activity is intense (Treguier et al., 2005) and is one of the most critical areas for carbon sequestration, with an average uptake of about 0.55–1.94 Pg of carbon per year, representing ~12% of the global net ocean uptake (Sanders et al., 2014; Takahashi et al., 2002).

The outline of this paper is as follows. Section 2 presents our numerical simulation. Section 3 outlines the methodology used to identify surface submesoscale fronts. Section 4 describes the seasonal evolution of a tracer released within the ML and analyzes the contribution of fronts to tracer subduction. Finally, Section 5 provides a detailed discussion of the results.

## 2. Methodology

### 2.1. Numerical Setup

We set up a realistic simulation of the circulation in a northeastern part of the North Atlantic subpolar gyre, using the oceanic modeling system CROCO (Coastal and Regional Ocean Community model), which resolves



**Figure 1.** (a) Snapshot of the GIGATL3 simulation ( $\Delta x = 3$  km) on the 8th of February. The background is the relative vorticity and the black contour is the bathymetry at 2,000 m. The black rectangle is the domain of the regional simulation. (b–d) represent the relative vorticity, the strain and vertical velocity at 100 m depth, respectively, computed from the regional simulation ( $\Delta x = 800$  m). The relative vorticity and strain are normalized to the local Coriolis frequency. All the statistical results are computed in the dashed rectangle subdomain to discard boundary effects.

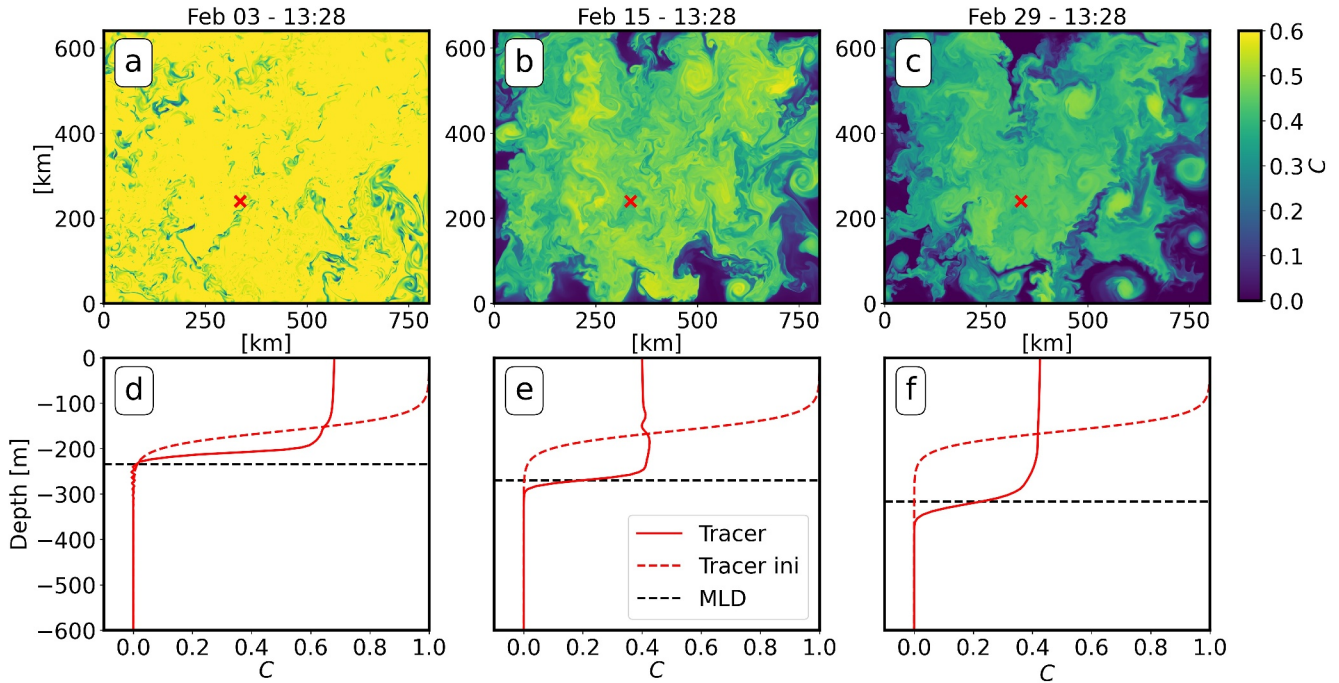
the primitive equations (Shchepetkin & McWilliams, 2005). A nesting approach is used here with a parent simulation (GIGATL3) covering most of the Atlantic Ocean with a horizontal resolution of 3 km (Gula, Theetten, et al., 2021). GIGATL3 provides the nested simulation with the initial state and the lateral boundary conditions. The study domain is shown in Figure 1. It covers an area of  $800 \times 640$  km, ranging from  $53.8^\circ\text{N}$  to  $62.5^\circ\text{N}$  and from  $20.5^\circ\text{W}$  to  $37.8^\circ\text{W}$ . The horizontal grid spacing  $\Delta x = 800$  m is almost constant across the domain. Vertically, we discretize the model with 200 sigma levels, which roughly corresponds to cell heights of  $\Delta z = 2$  m within the surface layer. This vertical resolution is chosen to accurately represent the surface dynamics. The ocean is forced at the surface by hourly atmospheric forcing from the Climate Forecast System Reanalysis using a bulk formulation with relative winds (Saha et al., 2010). Tidal forcing is not included. The grid bathymetry is from the global SRTM30plus data set (Becker et al., 2009). We use the rotated-and-split 3-rd order upstream biased advection scheme (RSUP3) for temperature and salinity, which limits spurious diapycnal mixing and improves the representation of dynamical gradients (Lemarié et al., 2012). For the passive tracer, we use the 5-th order weighted and essentially non-oscillatory scheme (WENO5), to better preserves the positivity of the concentration.

The simulation is run for 13 months, from 1 December 2007, to 31 December 2008, with a time step of 90 s and produces 3-hourly averaged outputs. The first month is dedicated to the spin-up phase, ensuring that sub-mesoscale structures have time to develop. We therefore analyze the outputs for the year 2008. To discard potential boundary effects, all the results are computed within a subdomain excluding points within 100 km of the boundaries.

## 2.2. Tracer Initialization and Equation

On the first day of each month, a passive tracer is released throughout the entire domain within the upper ML and remains for a period of 29 days. This experimental design allows us to evaluate and compare both mixed-layer water subduction and deep export independently for each month. The tracer concentration  $C$  is distributed following a hyperbolic tangent profile:

$$C(x, y, z, t = 0) = \frac{1}{2} \left( 1 + \tanh \left( \frac{z - z_{\text{target}}(x, y)}{\Delta z(x, y)} \right) \right), \quad (1)$$



**Figure 2.** (a–c) show the tracer concentration at the surface for February 3, 15 and 29. (d–f) show the corresponding tracer vertical distribution (red line) at the location of the red cross in (a–c). The dashed red line shows the initial vertical distribution of the tracer and the dashed black line is the mixed layer depth.

where  $x, y, z$  are the spatial coordinates, and  $t$  is time. We choose  $z_{target}(x, y) = 0.6z_{mld}(x, y)$ , with  $z_{mld}$  the ML depth (MLD), determined by a density difference threshold of  $0.03 \text{ kg m}^{-3}$  from the surface (de Boyer Montégut et al., 2004). The distribution ensures that there is no tracer below the MLD. In addition,  $dz(x, y) = \frac{1}{8}|z_{mld}(x, y)|$  is chosen to achieve a smooth transition near the MLD to avoid numerical instability due to sharp vertical gradients in tracer concentration. Figure 2 shows an example of the tracer concentration for 3 selected days in February. It illustrates how the tracer is stirred by the mesoscale and submesoscale circulation and how it accumulates into or is depleted from frontal regions.

The CROCO model uses the following tracer equation:

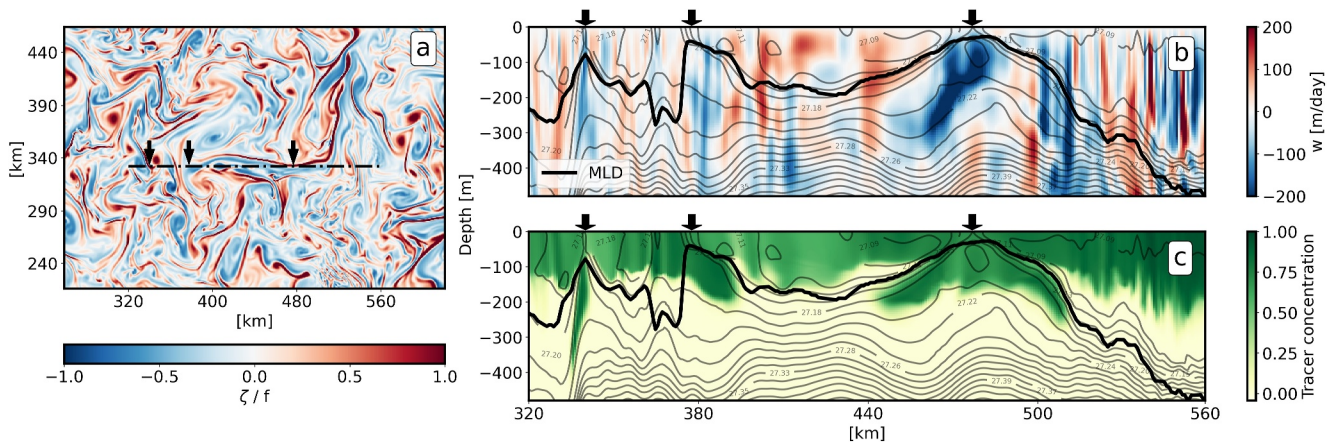
$$\frac{\partial C}{\partial t} = -u_j \frac{\partial C}{\partial x_j} - w \frac{\partial C}{\partial z} + \kappa_c + D_c + S_c, \quad (2)$$

where  $C$  is the tracer concentration,  $u_j$  are the horizontal velocities,  $w$  is the vertical velocity,  $\kappa_c$  is the vertical diffusion,  $D_c$  is the horizontal diffusion and  $S_c$  is a source or sink term (set to zero in this study).  $D_c$  is not explicit in CROCO, but results from the implicit contribution of the upstream-biased advection scheme. Vertical mixing ( $\kappa_c = \frac{\partial}{\partial z} \left( K_c \frac{\partial C}{\partial z} \right)$ ) computed with the tracer diffusivity  $K_c$  is parameterized with the K-profile parametrization scheme (KPP, Large et al., 1994).

### 3. Seasonality of Submesoscale Fronts

The numerical simulation provides compelling evidence for tracer subduction driven by fronts. Figure 3 presents a vertical section of the domain on 4th April, 4 days after the tracer release. The vertical section highlights distinct fronts usually characterized by significant vertical velocities ( $w > 100 \text{ m day}^{-1}$ ) and a pronounced subduction of the tracer below the ML. In this section, we first explain how we identify submesoscale fronts based on a strain and vorticity criterion and we present a first analysis to quantify the seasonal variations in the prevalence of fronts and their associated velocity field.





**Figure 3.** (a) Snapshot of the surface relative vorticity on the 4th of April. The vertical section over a front is marked with a dashed black line. The section meets several fronts denoted by the black arrows. Vertical cross section of (b) vertical velocity and (c) tracer concentration. In (b) and (c), the black line is the mixed layer depth computed with a density threshold of  $0.003 \text{ kg m}^{-3}$  from the surface and the gray lines are isopycnals.

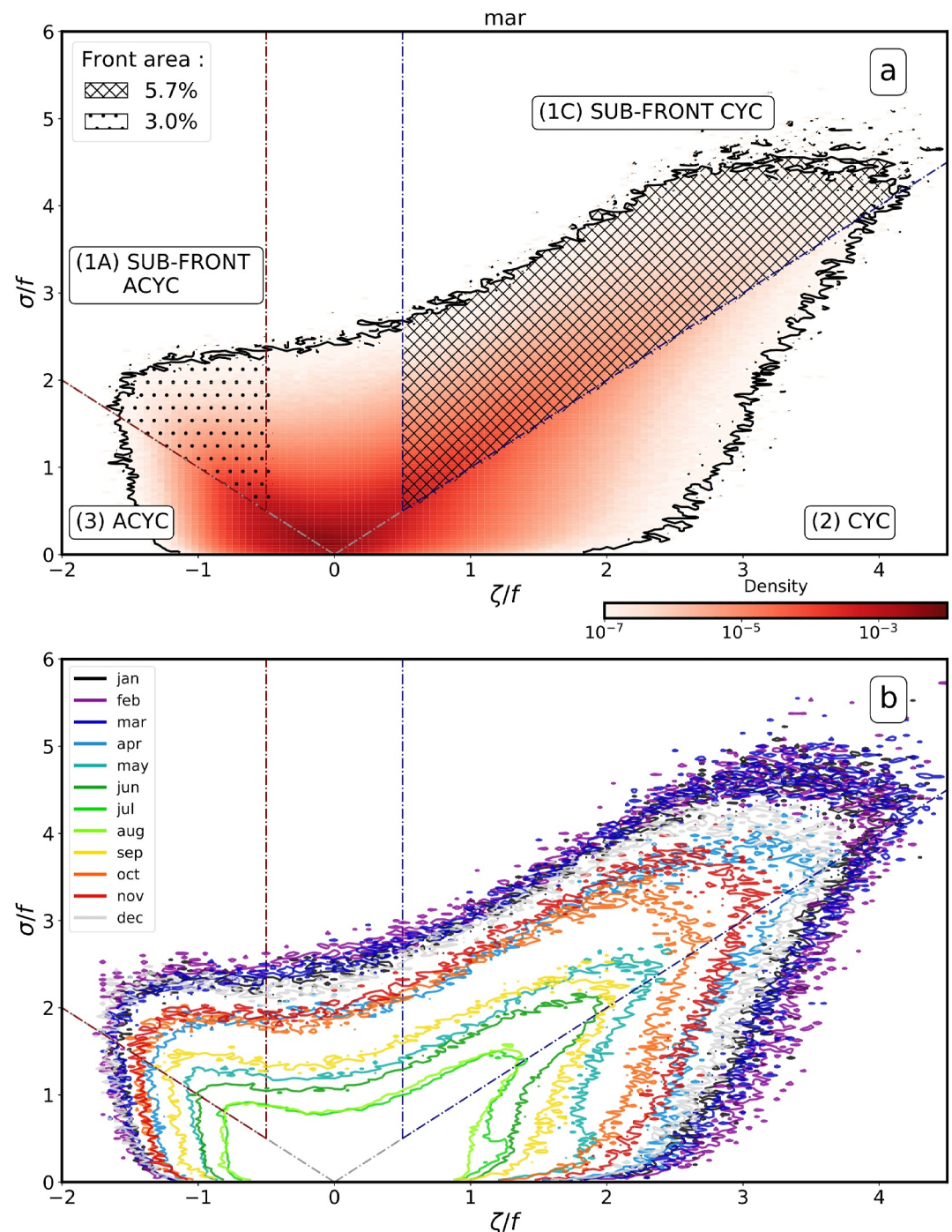
### 3.1. Seasonal Variability of Submesoscale Fronts

The dynamics of the horizontal flow can be expressed in terms of the strain tensor. This strain tensor can be decomposed into the vertical vorticity  $\zeta$ , the horizontal divergence  $\delta$  and the strain rate  $\sigma$  (referred to as strain in the following for simplicity) as follows:

$$\zeta = \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} ; \quad \delta = \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} ; \quad \sigma = \sqrt{\left(\frac{\partial u}{\partial x} - \frac{\partial v}{\partial y}\right)^2 + \left(\frac{\partial u}{\partial y} + \frac{\partial v}{\partial x}\right)^2} \quad (3)$$

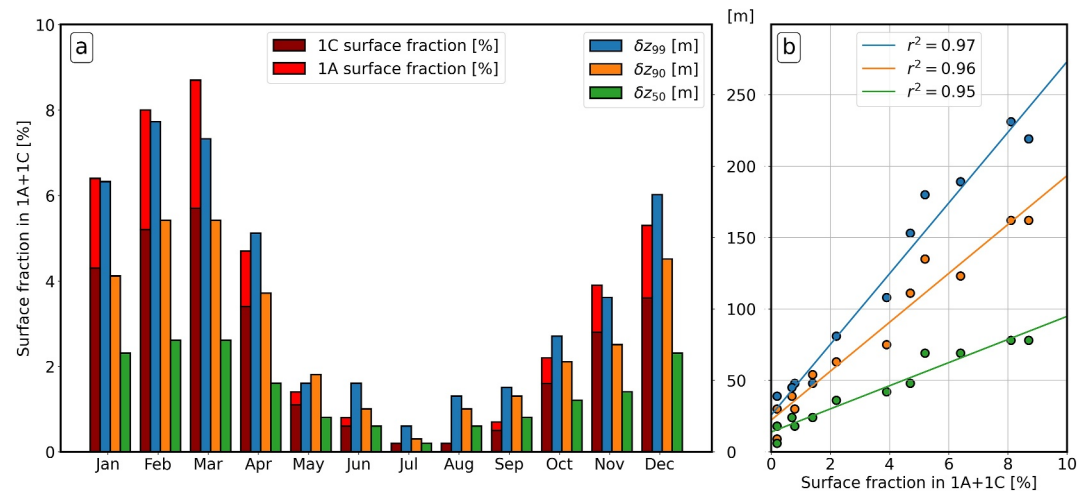
Strain and vorticity are often used to identify structures such as submesoscale fronts and eddies. Figure 1 displays the vorticity and strain within the domain on 8th February. During this winter period, we observe widespread and intense submesoscale structures, which are characterized by high vorticity and strain. This signature distinguishes them from eddy structures, which typically exhibit significant vorticity but weak strain patterns (Gula et al., 2014). Therefore, a flow decomposition based on joint probability density functions of surface vorticity and strain proves valuable for identifying fronts and eddies (Shcherbina et al., 2013). Previous studies have localized submesoscale fronts in vorticity-strain space as the regions near the lines  $\sigma = |\zeta|$  (Balwada et al., 2021; McWilliams, 2016; Shcherbina et al., 2013). In a strongly ageostrophic regime ( $|\delta| \sim |\zeta|$ ; Gula et al. (2014)), Barkan et al. (2019) demonstrated that fronts tend to cluster around the lines  $\sigma = \sqrt{2}|\zeta|$ . However, to our knowledge, none of the previous studies have precisely defined the area corresponding to submesoscale fronts. We have therefore chosen to define the frontal region by  $\sigma > |\zeta|$  and with a restrictive criterion of  $|\zeta|/f \sim Ro > 0.5$ . The  $Ro$  criterion is based on the work of Siegelman (2020), who observed in a fine resolution model that submesoscale structures above the permanent thermocline characterized by ageostrophic flow are associated with  $Ro > 0.5$ . We define two subdomains, labeled (1A) and (1C) and delineated by dots and hatches, respectively, corresponding to the anticyclonic and cyclonic submesoscale fronts (Figure 4). The separation of cyclonic and anticyclonic fronts is useful because cyclonic fronts (1C) are known to contribute significantly to intense downward velocities, while anticyclonic fronts generally induce upwelling and weaker velocities (Gula, Taylor, et al., 2021). In addition, we name the two other zones dominated by vorticity based on Balwada et al. (2021): the cyclonic zone (2) defined by  $\zeta/f > 0$  and  $\sigma < |\zeta|$ , and the anticyclonic zone, defined by  $\zeta/f < 0$  and  $\sigma < |\zeta|$ . These regions correspond to areas within anticyclonic and cyclonic eddies.

Figure 4a displays the integrated surface strain-vorticity JPDF computed over March. These statistics are computed within bins of size of  $0.05 \times 0.025$  (vorticity  $\times$  strain). The contour line delineates the region containing 99.99% of the grid points. A large fraction of the surface points exhibit weak vorticity and strain ( $\zeta/f < 0.5$  and  $\sigma/f < 0.5$ ), consistent with the quasi-geostrophic regime of turbulence expected to develop at this model resolution. The observed asymmetry, characterized by a peak in 1C, is the signature of submesoscale fronts (Buckingham et al., 2016; McWilliams, 2016). The 99.99% contour of the surface vorticity-strain JPDF is shown for each month



**Figure 4.** (a) Surface strain—vorticity joint probability density function (JPDF) in March. The black contour is the integrated domain containing 99.99% of the points. (1A) is the anticyclonic submesoscale frontal zone and (1C) is the cyclonic submesoscale frontal zone. (2) and (3) are the cyclonic and anticyclonic zones, respectively. The fraction of points within (1A) (dotted area) and (1C) (hatched area) are given. (b) Surface strain—vorticity JPDF domain contours (99.99% of the points) for each month.

in Figure 4b). Each season has a distinct JPDF signature, reflecting a clear shape evolution driven by the presence of submesoscale dynamics. The winter period exhibits the largest domain with the highest asymmetry due to more energetic submesoscales (Callies et al., 2015), while the JPDF envelope during the summer months is confined to a region of low strain and vorticity. Interestingly, the peak remains significant in spring, making this period

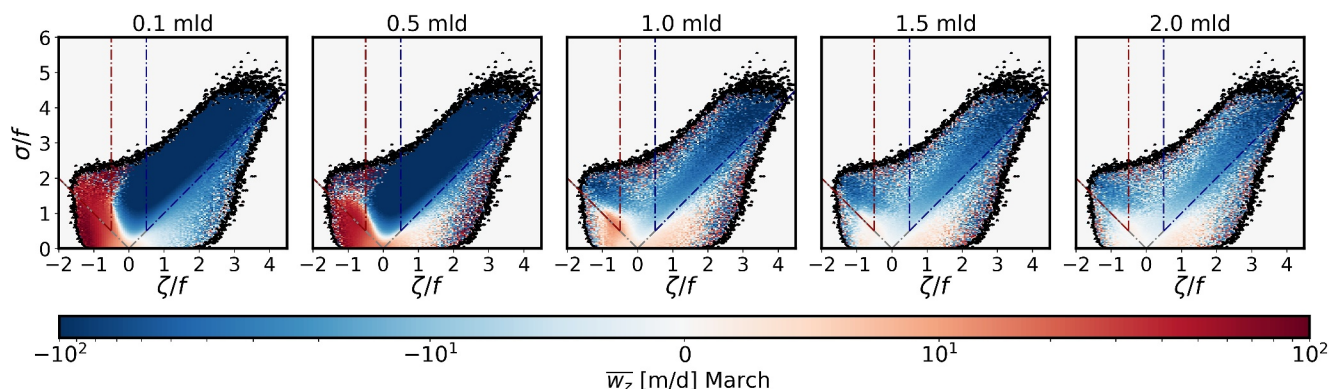


**Figure 5.** For Section 3: (a) Red bars represents the surface fraction of the cyclonic (1C) and anticyclonic (1A) front area for each month (i.e., sum of the values inside the dotted and hatched area in Figure 4). For Section 4: (a) Deepening of the 50th (green  $\delta z_{50}$ ), 90th (orange  $\delta z_{90}$ ) and 99th (blue  $\delta z_{99}$ ) tracer percentiles between the first and last day (29th day) for each monthly experiment. The tracer percentile  $z_x$  represents the depth above which  $x\%$  of the tracer is located. (b) Linear regression between the total surface fraction of fronts in (1A) and (1C) and tracer deepening computed with the 12 monthly experiments.

particularly relevant for organic carbon export as the region hosts significant phytoplankton blooms in the euphotic layer. To quantitatively assess the presence of fronts, we calculate the fraction of points within regions (1A) + (1C) for each month, which we consider to be the fraction of the surface covered by fronts, hereafter simplified as the “fraction of fronts” (Red bars in Figure 5a). The frontal area is maximum in March, accounting for about 9% of the total area (5.7% in 1C). Conversely, the lowest fraction of submesoscales is found in July with less than 0.5%.

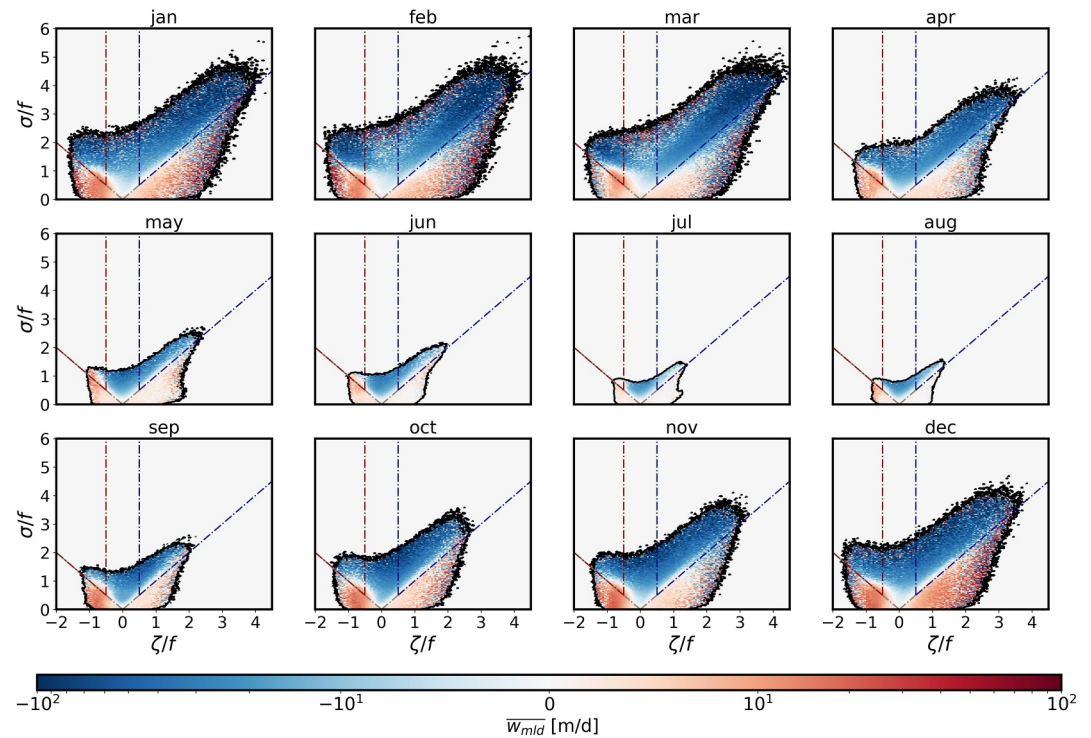
### 3.2. Seasonal Variability of Vertical Velocity

Following the approach in Balwada et al. (2021), we look at the distribution of variables at depth as a function of surface vorticity and strain. This approach reveals interesting patterns in the vertical velocity  $w$ . For each month, we computed the distribution of the bin-averaged vertical velocity  $\bar{w}_z$  (the  $\bar{\phantom{x}}$  represents the average in a bin), conditioned on surface vorticity and strain over 20 vertical  $z$  levels equally spaced from the surface to  $2z_{mld}$  ( $z_{mld}$  representing the MLD). An example for March with 5 depths levels is shown in Figure 6. Similar to the JPFD, we use 3-hourly outputs (averages) during the first 29 days of each month. Our approach is similar to that of Balwada et al. (2021), with a key difference being that instead of considering a horizontally constant MLD, we compute



**Figure 6.** Bin-averaged vertical velocity conditioned on surface vorticity and strain at different vertical levels during March. The black contour is the integrated domain containing 99.99% of the points. The remaining 0.01% of points are hidden.





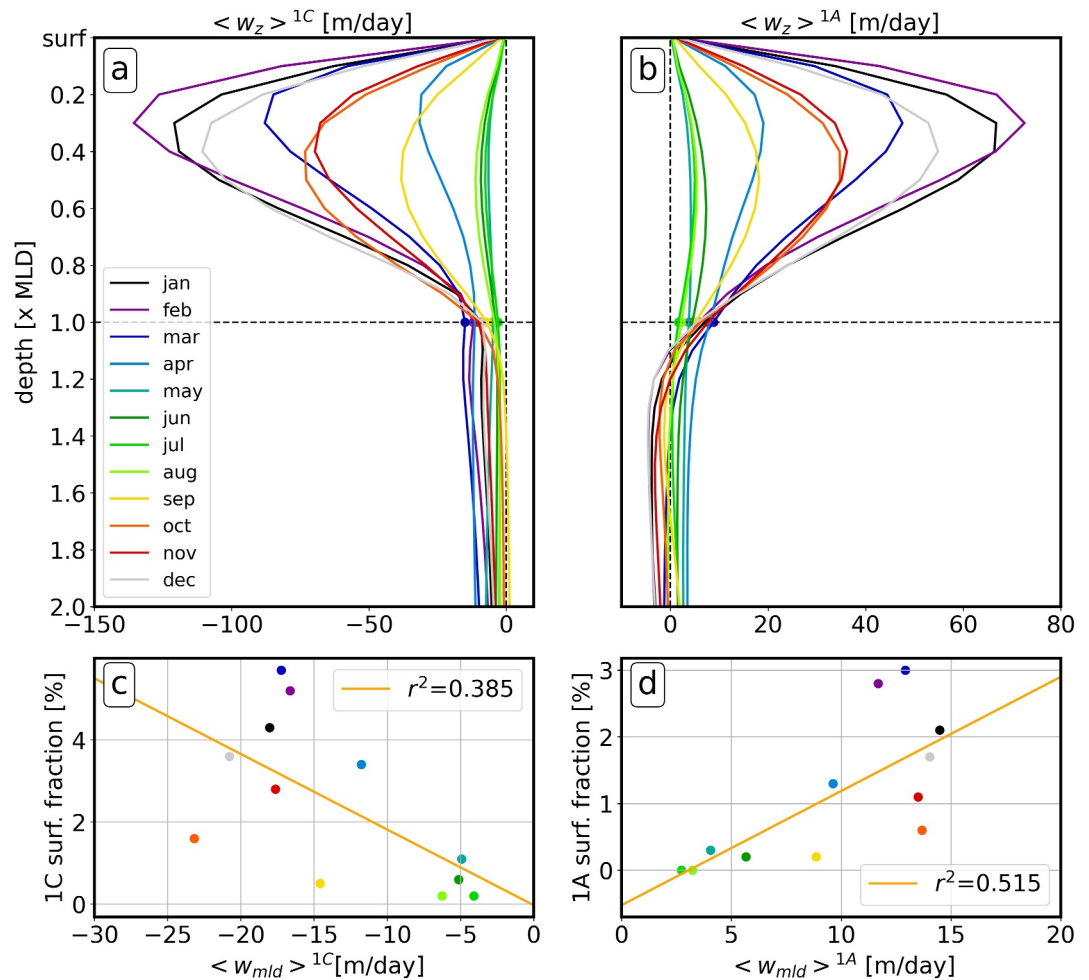
**Figure 7.** Bin-averaged vertical velocity at the mixed layer depth conditioned on surface vorticity and strain for each month. Black contour is the integrated domain that contains 99.99% of points (points outside have been removed).

$z_{mld}$  at each grid point and for each time step. To analyze subduction at  $z_{mld}$ , a focus on the mean vertical velocity  $\overline{w_{mld}}$  for each month is given in Figure 7.

Although there is a monthly variability in the distribution of  $\overline{w_{mld}}$ , we observe consistent patterns. Notably, both anticyclonic (3) and cyclonic (2) features are associated with upward vertical velocities ( $\overline{w_{mld}} > 0$ ) while the largest negative velocities are within the (1C) area. However, some observations change with depth (Figure 6).  $\overline{w_z}$  seems to be significantly weaker below  $z_{mld}$ . Focusing on the (1A) area, we also observe that  $\overline{w_z}$  changes sign with depth ( $\overline{w_z} > 0$  for  $z = 0.1z_{mld}$  and  $\overline{w_z} < 0$  for  $z < z_{mld}$ ). This shift may be a direct consequence of the methodological limitation. Indeed, the dynamics conditioned at depth, especially below  $z_{mld}$ , may not always be directly linked to surface properties. First, the fronts are often surface intensified and the associated secondary circulation may not extend to  $z_{mld}$  and below. In addition, vertical velocities induced by a front often follow isopycnal paths that are not vertical and include a horizontal component (Freilich & Mahadevan, 2021). Consequently, the associated subduction may not necessarily be located directly beneath its apparent surface signature, and lateral advection transport may also be induced. This is particularly problematic for the light anticyclonic side of fronts, whose upward path may be above the dense cyclonic downward path (Figure S1 in Supporting Information S1). Consequently, below a certain depth, we associate part of the cyclonic downward velocity with the (1A) area, biasing the results, especially for months associated with deep MLDs such as March. However, with the exception of the (1A) area, we observe robust  $w$  patterns constrained by surface dynamical features, independent of season and depth. These observations support our hypothesis that the surface dynamics are strongly linked to the vertical velocity at the ML base.

Focusing on the frontal areas (1A) and (1C), we compare the vertical profiles of vertical velocity for each month between  $z = 0$  and  $z = 2z_{mld}$ . We compute the mean vertical velocity inside (1A) and (1C),  $\langle w_z \rangle^{1A}$  and  $\langle w_z \rangle^{1C}$  (Figures 8a and 8b). The maximum velocities within the fronts are typically observed at depths corresponding to  $z = 0.3 - 0.4z_{mld}$ , and usually drop to a much weaker value near  $z_{mld}$ . Region (1C) is consistently associated with downward velocities, with varying seasonal intensities ranging from  $-130$  m/day (winter) to  $-10$  m/day (summer). In contrast, region (1A) shows upward velocities with values ranging from  $5$  to  $70$  m/day. Below the ML,  $\langle w_z \rangle^{1C}$  remains consistently negative. However,  $\langle w_z \rangle^{1A}$  switches from positive to negative values from





**Figure 8.** (a–b) Mean vertical velocity computed from grid points inside the front cyclonic area (1C)  $\langle w_z \rangle^{1C}$  and (1A)  $\langle w_z \rangle^{1A}$ . The values are computed between the surface and  $z = 2z_{mld}$  for each month. (c–d) Linear regression between the mean velocity  $\langle w_{mld} \rangle^{1A}$ ,  $\langle w_{mld} \rangle^{1C}$  and the corresponding fraction of fronts in (1A) and (1C).

October to March below the ML, which are months associated with large MLDs. Hence, we suggest that this switch is an anomaly, possibly due to the methodological bias mentioned above.

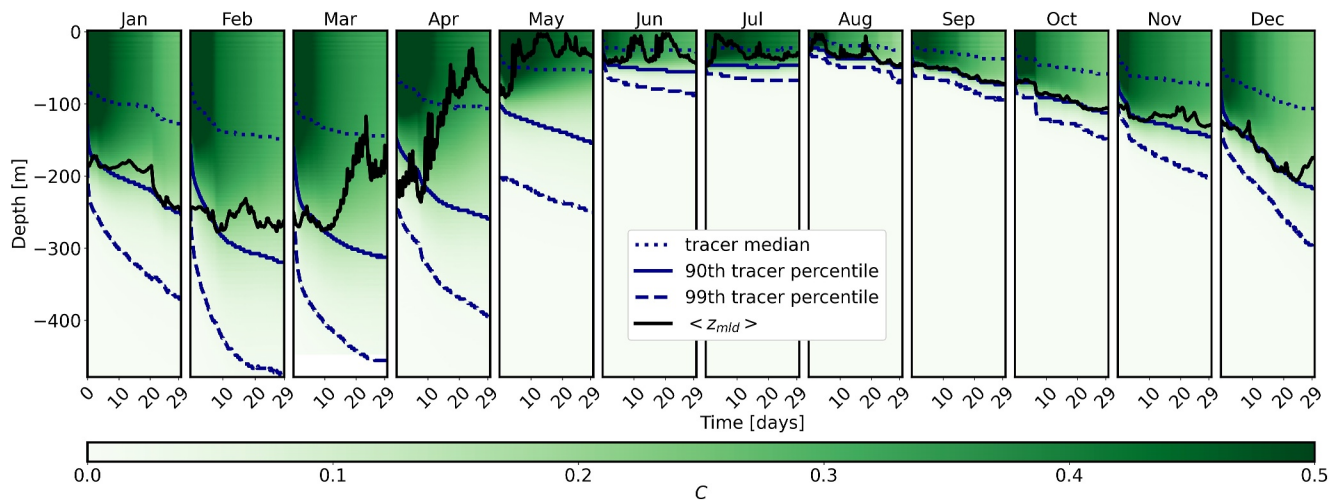
The mean vertical velocity also appears to follow a seasonal pattern. The relationship between the fraction of fronts and  $\langle w_{mld} \rangle$  in (1A) and (1C) computed with the 12 monthly experiments is shown in Figures 8c and 8d. It shows a moderate correlation with correlation coefficients  $r^2 = 0.385$  in (1C) and  $r^2 = 0.515$  in (1A) (Ratner, 2009; Taylor, 1990). This supports the idea that vertical velocities at  $z_{mld}$  are intensified when the fraction of surface fronts is larger.

## 4. The Seasonal Tracer Evolution

In this section we analyze the tracer transport at depth, focusing in particular on the vertical advective subduction that occurs within fronts.

### 4.1. Tracer Deepening

We examine the tracer evolution over the vertical in Figure 9, which displays the average tracer concentration within 3-m bins and the spatially averaged evolution of the ML depth  $\langle z_{mld} \rangle$ . Over the study period, the ML has a typical seasonal evolution characterized by a stable and large depth in winter, intense stratification in spring, a shallow and stable depth in summer and a gradual deepening in fall. To better estimate the evolution of the tracer,

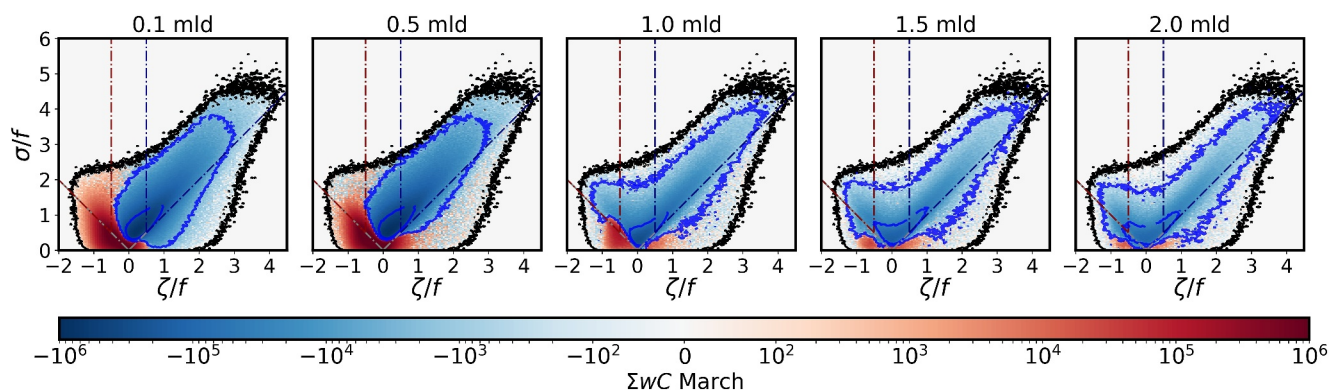


**Figure 9.** Tracer distribution and evolution for each month. The tracer concentration is vertically averaged over 3-m bins. The black line is the spatial mean of the mixed layer depth computed for each time step (3h) with a density threshold. Blue lines represent the 50th (dotted), 90th (solid) and 99th (dashed) percentiles of the tracer.

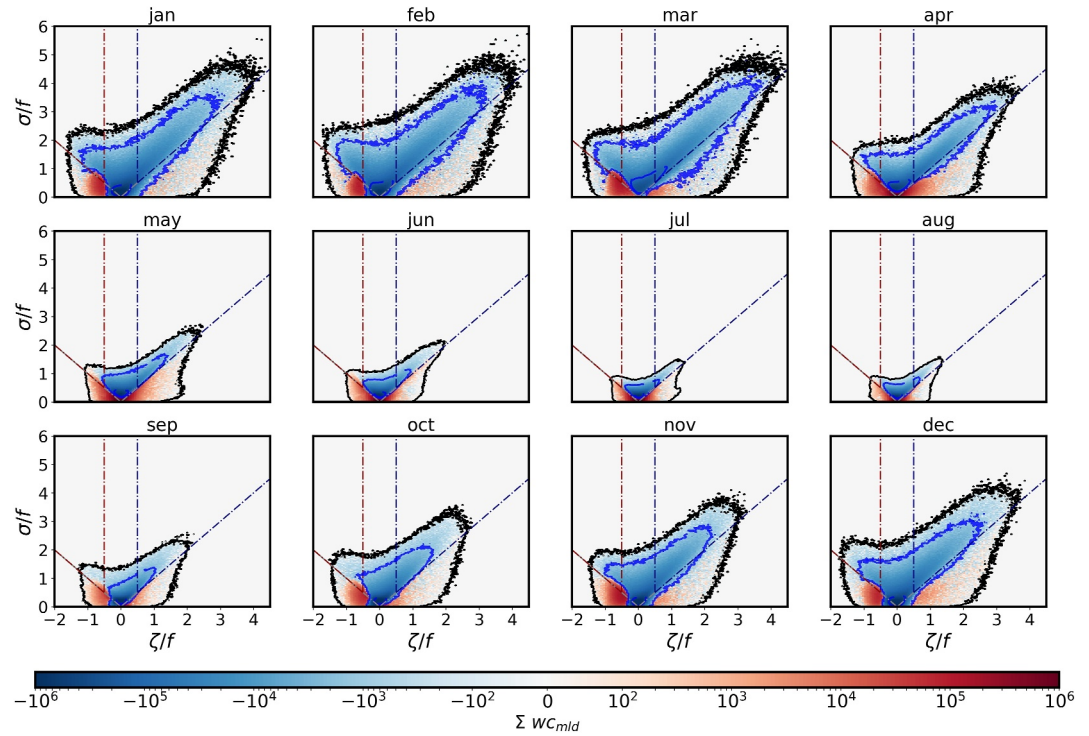
we compute the distribution of the tracer concentration as a function of depth and monitor the distribution's median, 90th, and 99th percentiles. Each month the tracer spreads deeper into the water column, and its concentration within the ML decreases. Note that the tracer can escape through the open boundaries of the domain, but this does not affect the statistical results. The tracer depth is particularly important for carbon export, as the carbon sequestration time is directly dependent on the depth of injection (Bol et al., 2018). The difference between the depth of each percentile on the first day and on the last day ( $\delta z_{99}$ ,  $\delta z_{90}$ ,  $\delta z_{50}$ ) is plotted in Figure 5a. The varying seasonal conditions allowed us to compute the linear regression between the fraction of fronts and the tracer deepening. Interestingly,  $\delta z_{99}$ ,  $\delta z_{90}$  and  $\delta z_{50}$  appear to be significantly correlated with the front spatial footprint (Figure 5b). This indicates that the fraction of fronts impacts the depth at which the tracer is subducted. Consequently, the surface conditions can potentially be used as an indicator to estimate the redistribution of tracer at depth in this region.

#### 4.2. Seasonal Tracer Subduction Driven by Submesoscale Fronts

We mapped the vertical transport of the tracer,  $wC$ , in surface strain-vorticity space. We then summed the vertical transport within each bin, and further summed within each dynamical area to estimate their respective contribution. These quantities are computed for 20 vertical levels between the surface and  $2 z_{mld}$ . An example for March is given in Figure 10. Inside the ML, the direction of vertical transport is similar to what we observe with the mean velocity (Figure 6). This is because the tracer is almost uniform across the ML and always positive. Therefore, the



**Figure 10.** Sum of vertical transport  $\Sigma wC$  conditioned on surface vorticity and strain at different vertical levels in March. Black contour is the integrated domain containing 99.99% of the points. Points outside have been removed. Blue contours include the integrated points contributing to 50% (inside) and 99% (outside) of the total downward transport.



**Figure 11.** The sum of vertical advection  $\Sigma w_{mld} \cdot C_{mld}$  conditioned by surface vorticity and strain. Integrated blue contours indicate 99% and 50% of the total negative flux  $\Sigma w_{C_z} < 0$ . The black contour contains 99.99% of the points (points outside have been hidden).

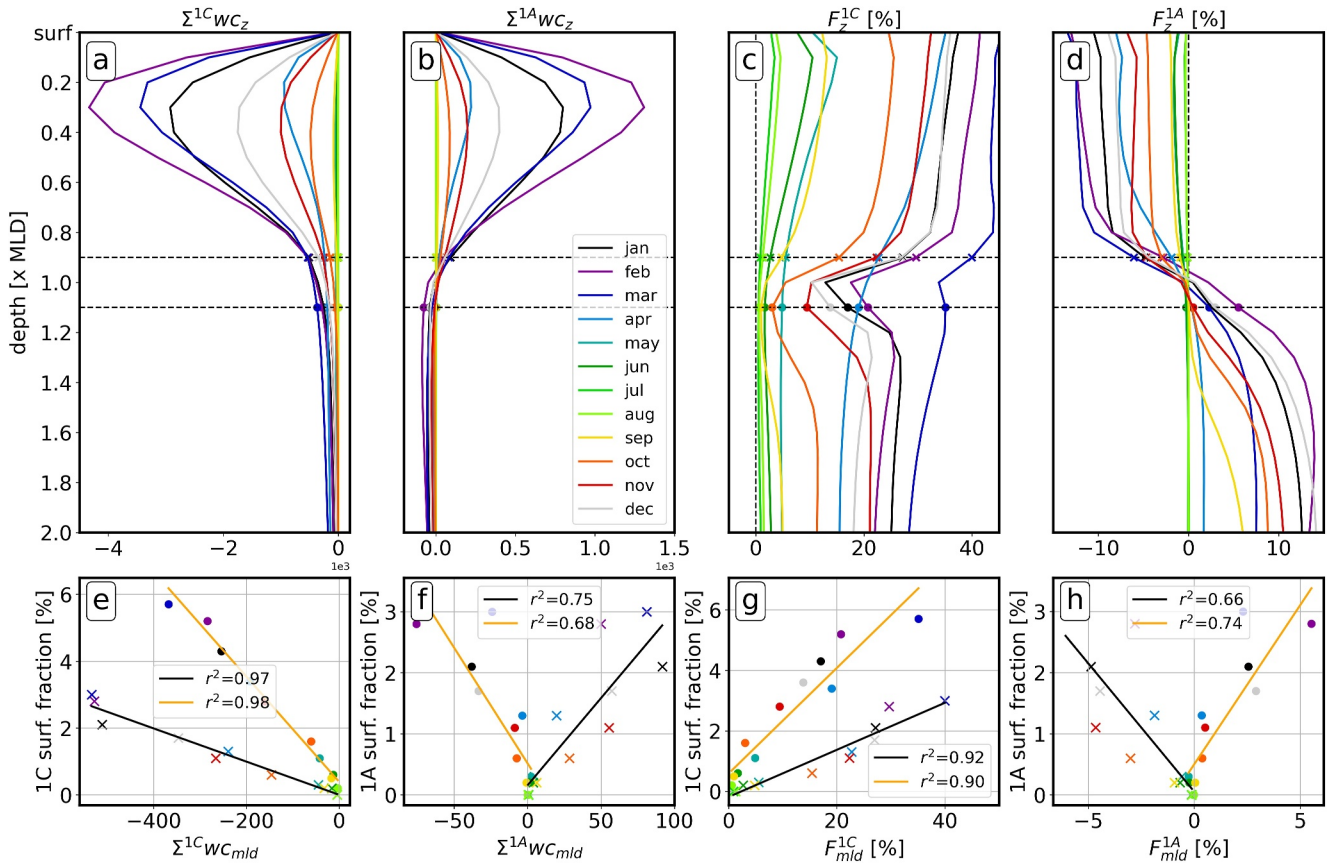
total transport direction is directly related to the mean velocity. Below  $z_{mld}$ , however, the transport is mostly negative in each region. This is because no tracer was initially injected at this depth. A small part in the eddy region still shows positive transport, suggesting that some of the subducted tracer may be reinjected into the ML. The blue contours indicate the region contributing to 50% and 99% of the downward transport. It is clear that most of the downward contribution is associated with low strain and vorticity, which is the region that largely dominates the surface dynamics (Figure 4). However, the (1C) area also appears to be a region that contributes significantly to the export.

Focusing on subduction, we also plotted  $\Sigma wC_{mld}$  conditioned on vorticity and strain for each month in Figure 11. Irrespective of the season, the anticyclonic (3) and cyclonic (2) areas contribute mainly to the upward transport, while the remaining region is primarily associated with downward transport. Again, we observe the important contribution of the (1C) area, which is mainly involved in the downward fluxes.

To confirm this trend, we calculated the total tracer fluxes for the grid points inside (1A) and (1C),  $\Sigma^{1A} wC_z$  and  $\Sigma^{1C} wC_z$  (Figures 12a and 12b). We also estimated the fraction of these fluxes relative to the total downward advective fluxes  $F_z^{1A}$  and  $F_z^{1C}$  at depth  $z$  such as  $F_z^{1C} = \frac{\Sigma^{1C} wC_z}{\Sigma wC_z < 0}$  and  $F_z^{1A} = \frac{\Sigma^{1A} wC_z}{\Sigma wC_z < 0}$  (Figures 12c and 12d). Similar to  $w$ , the transport  $wC$  in (1C) and (1A) reaches a peak at  $0.3 - 0.4z_{mld}$  and decreases significantly near  $z_{mld}$ . In (1C), the transport remains always negative and can contribute significantly to the total downward transport between the surface and  $2z_{mld}$ . In (1A), however, the transport shifts from positive to negative precisely at  $z_{mld}$ . As mentioned in Section 3.2, the result below  $z_{mld}$  in the (1A) region may be affected by a methodological bias, making any interpretation difficult.

We observe a singularity at  $z_{mld}$  in Figures 12c and 12d. This is due to the total downward fluxes  $\Sigma wC_{mld} < 0$  (not shown), which have a local extremum at this depth. At present, this maximum is not fully understood, but it is likely to be related to the MLD singularity itself. We therefore focus on the two depths  $0.9z_{mld}$  and  $1.1z_{mld}$  to obtain a more robust description. As mentioned above, in (1A) we observe a shift in the sign of  $\Sigma wC$ , from positive (i.e., obduction) to negative (i.e., subduction). Overall, the net fluxes near  $z_{mld}$  are close to 0, indicating





**Figure 12.** (a–b) Sum of the tracer fluxes in (1C) and (1A) between surface and  $2z_{mld}$ . (c–d) Fraction tracer fluxes computed in (1A) and (1C) relative to the total advective downward fluxes. (e–f) Corresponding linear regressions between the fraction of fronts and tracer fluxes in (1A) and (1C) at  $z_{mld}$ . (g–h) Linear regressions between the fraction of fronts and the corresponding fraction of fluxes relative to the total advective downward fluxes  $\Sigma^{WC_{mld} < 0} WC_{mld}$ . The linear regressions are computed with the 12 monthly experiments.

that (1A) does not contribute significantly to subduction, the absolute contribution being  $F_{mld}^{1A} = 1 - 5\%$ . Conversely, the fluxes associated with (1C) at  $z_{mld}$  are important and represent a significant contribution in terms of subduction, particularly during the winter and spring months, with a contribution of  $F_{mld}^{1C} = 30 - 40\%$  of the total downward flux. There is a slight decrease in the contribution with depth, which again could be due to the limitation of the methodology. Considering the 12 monthly experiments, we find the evidence of a clear relationship between the tracer fluxes, the subduction contribution terms around  $z_{mld}$ , and the fraction of fronts (Figures 12e–12h). In particular,  $\Sigma^{1C}WC_z$  and  $F_{mld}^{1C}$  show a direct correlation with the fraction of fronts ( $r^2 = 0.90 - 0.92$ ). The linear relationship is also observed for the anticyclonic front, but not as effective ( $r^2 = 0.66 - 0.74$ ). This results suggests that, in this region, the frontal vertical advective subduction contribution can be estimated from the surface strain-vorticity.

## 5. Discussion

### 5.1. Bias and Future Improvements

Few studies have used surface strain-vorticity statistical tools to characterize submesoscale dynamics in both observations and models (Balwada et al., 2021; Cao et al., 2023; Rocha et al., 2016; Shcherbina et al., 2013; Vic et al., 2022; Wang et al., 2022). To our knowledge, Balwada et al. (2021); Cao et al. (2023) are the only studies that uses JPFDs and vertical tracer transport conditioned on surface strain and vorticity to estimate the submesoscale frontal contribution at depth.

Results from numerical simulations can be highly sensitive to the grid resolution (Figure S2 in Supporting Information S1). By increasing the resolution grid from 3 to 0.8 km, we find that the front fraction increases

significantly from 0.2% to 3.8%, and so far it is challenging to quantify the part of the submesoscale not resolved here. One of the main sources of submesoscale fronts is the mixed-layer baroclinic instability (MLI), whose scale  $l_{sml}$  has been estimated by Dong et al. (2020) (Figure S3 in Supporting Information S1). With a 0.8 km grid resolution, the effective resolution ( $4\Delta x = 3.2$  km) should be sufficient to resolve MLI in the region in winter ( $l_{sml} \sim 12$  km). However, the scale of MLI in summer is lower ( $l_{sml} \sim 3$  km) and it is possible that MLI is not fully resolved here, which could explain why the front contribution found during this period is very weak. However, the scale at which the fronts are arrested is smaller than the MLI scale (Bodner et al., 2023), especially in winter where it is well below the effective resolution of the model, but it may be marginally resolved in summer. Other submesoscale instabilities, such as symmetric instabilities (Bachman et al., 2017), are unresolved throughout the year (Dong et al., 2021). And we can only speculate that their inclusion could lead to additional mixing at the base of the ML and increased exchange between ML and thermocline water in some regimes. We do not expect internal gravity waves (IGW) to play a direct first-order role in vertical tracer fluxes, as shown in Balwada et al. (2018, 2021), but we might expect IGW to play a role in generating additional vertical mixing at the base of the ML and in tracer fluxes through the ML base, especially for near-inertial internal waves (NIW) (Alford, 2020) and even some higher-frequency IGW (Barton et al., 2001; Wain et al., 2015).

The implicit mixing associated with the advection of the passive tracer may also play a role at the base of the ML, where the parameterized vertical mixing decreases to its background value, and will need to be quantified more precisely. Since we expect subduction to occur along isopycnals beneath the ML, even small diapycnal effects could affect tracer dispersion. The RSUP3 scheme used for the advection of potential temperature and salinity minimizes such effects, but the WENO5 scheme used for the passive tracer—to better preserve the positivity of its concentration—has the disadvantage of leading to slightly higher diapycnal diffusivities.

Also, due to numerical storage limitations, we chose to use 3-hr averaged outputs here. This output frequency slightly smooths the frontal influence compared to hourly snapshots, resulting in a 0.5% loss in frontal fraction (Figure S4 in Supporting Information S1). For all these reasons, our results may underestimate the influence of the front on tracer transport, especially in summer.

Another important limitation of our study is the connection between the surface dynamics and the dynamics at depth, as mentioned in Section 3.2. In particular, the vertical velocities induced by a front are limited in depth and do not always follow a 1D vertical direction. Furthermore, the vertical structure of the fronts can be more complex and is not always surface intensified, as discussed in Wang et al. (2022). These limitations result in a bias that may be depth dependent and needs to be properly quantified in order to better understand the limited zone where such a method can be applied. This implies that the frontal isopycnal paths need to be accurately determined, which is a challenging task that remains to be addressed.

Finally, the definitions of the submesoscale frontal regions (1A) and (1C) used here are based on simplified assumptions. While these definitions provide reasonable approximations for estimating the initial impact of submesoscale fronts, they require further refinement. In reality, the definition of a submesoscale frontal region is more complex and may depend on the dynamics itself. Buckingham et al. (2016) demonstrated that  $\zeta$  values in submesoscale regions are influenced by the Coriolis frequency and by the ratio of lateral to vertical buoyancy gradients. The  $Ro$  criteria used in our study may not be fully appropriate in certain regions, such as the Gulf Stream, where  $Ro$  is about 0.7–1.0 at the submesoscale, exceeding the values in our region. Therefore, we highlight the need for further theoretical development to precisely define a submesoscale zone within the surface strain-vorticity space. This will be crucial in the future for accurate estimation of tracer export influenced by submesoscale dynamics.

However, compared to previous studies, we observe similar associations between surface properties and transport at the MLD, and we also find similar orders of magnitude in terms of submesoscale contributions, reinforcing our confidence in the results.

## 5.2. Toward a Better Parameterization of the Effect of Fronts on Tracer Subduction

The main objective of this study was to gain a better understanding of the contribution of fronts to water subduction in a seasonal perspective. Proper quantification of subduction is crucial for understanding complex ocean mechanisms such as the carbon pump and heat transfer. Our seasonal study has allowed us to clearly

identify front signatures and evaluate their impact on the transport of upper layer water to depth. Significant variations in the fraction of fronts allow us to infer a parameterization of the impact of fronts on tracer transport based solely on their surface characteristics. An important result is that the vertical advective subduction contribution can be estimated directly from the surface dynamics. So far, it is challenging to detect sub-mesoscale features with sea surface height (Ballarotta et al., 2019). However, with the ongoing Surface Water and Ocean Topography (SWOT) mission (Fu et al., 2024; Fu & Uebelmann, 2014), it will soon be possible to improve the altimetry resolution to 10–30 km. This will allow better determination of the fraction of fronts and associated subduction rates, which is particularly relevant for biogeochemical studies focusing on the contribution of submesoscale features to the biological carbon pump, often referred to as the eddy-subduction pump (Boyd et al., 2019). Despite numerous studies on the topic (Omand et al., 2015; Stukel et al., 2017), there is still no consensus quantifying at a global scale the role of submesoscale processes capable of injecting particles to depth. Yet, this may partly explain why the carbon demand of the mesopelagic ecosystem exceeds the downward flux of presumably sinking POC by a factor of 2–3 (Burd et al., 2010). While this study used a simplified approach with homogenized tracer initialization within the ML, the same methodology could be adapted to study the front's contribution to carbon export and nutrient injection using coupled biochemical modeling. In addition, it is important to note that the seasonal results presented here are based on 1 year of data, and inter-annual variability can be significant (Berta et al., 2020). Further studies are needed to assess the sensitivity associated with different time periods, regions, and numerical models. Note that our study does not address the fate of passive tracers once they leave the surface ML. We expect restratification processes, driven by, for example, springtime warming or submesoscale instabilities (Boccaletti et al., 2007), to seal the longer-term trapping of tracers below the ML. These processes must be taken into account to investigate the inter-annual fate of tracers that leave the ML.

## 6. Conclusion

The present study investigates the seasonal fate of a passive tracer released monthly in the surface ML using a realistic high-resolution simulation in the North Atlantic. Using surface strain and vorticity criteria, we identified and quantified the fraction of surface area occupied by fronts for each month over a year. Our observations revealed a deep subduction of the tracer in the presence of submesoscale activity and a consistent correlation between front spatial footprint and tracer sinking emerged, independent of the ML depth evolution. Remarkably, our investigation revealed that cyclonic submesoscale fronts, ranging from 0.5% in summer to about 6% of the surface in winter, contribute significantly to the total tracer vertical advective subduction, ranging from 0.5% to 40%, respectively. These results not only confirm the efficacy of using surface vorticity-strain criteria for front analysis, but also emphasize the need to study fronts from a seasonal perspective.

## Data Availability Statement

The codes used in this study are available online at <https://github.com/TheoPcrd/RREX2008> (Picard, 2023). The numerical simulation outputs are available on request.

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