

## Instability of two dimensional vortices with a peripheral ring

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We study the instability of piecewise-constant circular vortices with an accent put on like-signed and concentric core and annulus, in a two-dimensional incompressible fluid. Firstly, the linear instability of these vortices is studied. We determine the possible resonances between Rossby waves on the three vorticity fronts of the circular vortex and classify them with respect to the front positions and vorticity jumps. The wavenumber and growth rates of the most unstable normal-mode perturbations are also provided, when these parameters are varied. Secondly, we simulate the finite-amplitude evolution of these linearly unstable vortices using a numerical code of the nonlinear two-dimensional vorticity equation. It was shown that four main nonlinear regimes can occur: vortex core deformation, vortex core breaking, annulus breaking into a ring of vortices, and dipolar breaking of the whole structure. We then classify these regimes within the parameter space, and then describe possible turbulent evolutions of the initial circular vortex and explain the underlying physical mechanisms. We determine under which conditions vortex multipoles can be created and which shape they assume in a steady state, and present stable multipoles and give a simple criterion for this stability. These evolutions were contrasted with those previously obtained for oceanic vortices with a core and an opposite sign annulus. We show the essential role of like-signed vorticity regions, which often leads to spatial confinement of the vorticity end-states. Though highly idealized, our model results are compared with those of previous studies and with the observations of the Jupiter polar vortices.

### 1. Introduction

In planetary fluids, mesoscale and synoptic vortices are long-lived, horizontally recirculating fluid motions, with lifetimes much longer than their turnover periods (McWilliams 1991). In the Earth oceans, vortices are ubiquitous and are robust structures : they can live for months or even years (Richardson and Tychensky 1998). Oceanic vortices can drift far away from their formation region : deep vortices containing Mediterranean Water can cross half of the Atlantic Ocean westward (Carton 2001). Many oceanic vortices have a large heat and energy content that they retain and carry during their drift ; the turbulent heat transport at mid latitudes reaches 0.3-0.8 PW, a third to a half of the total heat transport across the oceans (Carli *et al.* 2023).

The stability of oceanic vortices has been the subject of many papers (e.g., Gent and McWilliams 1986, Flierl 1988, Dritschel 1988, Hua 1988a,b, Ford 1994, Dritschel and De la Torre Juárez 1996, Dewar and Killworth 1995, Sokolovskiy 1997, Dewar *et al.* 1999, Sokolovskiy and Verron 2000, Dritschel *et al.* 2005, Reinaud 2019). Many of these studies have considered idealized vorticity profiles to represent oceanic vortices. In particular, piecewise-constant and power-exponential vorticity profiles have often been used. These studies have shown that barotropic instability can affect vortices with profile steepness equal to (or larger than) that of Gaussian vortices (Ford 1994). During the nonlinear evolution of these unstable circular vortices, tripoles and quadrupoles can be formed and remain stable or metastable (Carton *et al.* 1989, Morel and Carton 1994). Dipolar breaking of strongly sheared

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43 vortices has also been observed (Carton and McWilliams 1989). In stratified rotating fluids  
44 (such as the atmosphere and oceans), baroclinic instability can affect surface-confined, or  
45 vertically contra-rotating, vortices (Dewar and Killworth 1995, Dewar *et al.* 1999, Baey and  
46 Carton 2002). The end-state of the baroclinically unstable vortices is either baroclinic dipoles  
47 (the so-called “hetons” (Hogg and Stommel 1985, Sokolovskiy and Verron 2000, Sokolovskiy  
48 1997)), baroclinic tripoles (Corréard and Carton 1999, Sokolovskiy and Carton 2010, Kurakin  
49 *et al.* 2016) or vertically co- or contra-rotating elliptical vortices (Dewar and Killworth 1995,  
50 Carton and McWilliams 1996).

51

52 But vortices also exist on other planets (at least in the Solar System). The oldest known  
53 vortex in the Solar System is the Jupiter Great Red Spot, perhaps observed by Cassini  
54 (Cassini 1666), but known at least since 1831 (as reported by an observation by Hollow, noted  
55 by Clerke (1887)). It is an anticyclonic oval vortex of zonal dimension 16,000 km (half that  
56 of a century ago), lying at 22 degrees south of the Equator, and embedded in the zonal wind  
57 system of Jupiter. It is often accompanied by oval cyclones.

58 More recently, vortices have been observed by space probes at planetary poles. For Venus,  
59 the Venus Express mission (2006) revealed that the polar vortices on Venus have a double  
60 structure, unlike the mostly monopolar polar vortices on Earth (Svedhem *et al.* 2007). For  
61 Jupiter (Juno mission) and Saturn (Cassini mission), long-lived polygonal vortices, or vortex  
62 compounds, exist at their poles (Godfrey 1988, Sanchez-Lavega *et al.* 1993, Sánchez-Lavega  
63 *et al.* 2014, Ingersoll *et al.* 2022). At the North Pole of Jupiter, eight cyclonic vortices surround  
64 a central cyclone; at its South Pole, five peripheral vortices circle the central vortex. Their  
65 maximal (wind) velocity lies between 100 and 150 m/s at a radius of about 1000-1500 km  
66 from their center. The peripheral vortices are distant by about 8000 km from the North Pole  
67 and about 7000 km from the South pole.

68

69 Several studies proposed an explanation for the formation or the existence of these multi-  
70 polar vortices as aggregates of drifting vortices, or as vortex crystals (Li *et al.* 2020, Gavriel  
71 and Kaspi 2021, Scarica *et al.* 2022, Siegelman *et al.* 2022). Other work has studied how the  
72 instability of an annular vortex can form a vortex multipole (Reinaud and Dritschel 2019), or  
73 a steadily rotating polygonal vortex (Rostami *et al.* 2017). In this paper, we complement their  
74 work in the context of two-dimensional flows, where parametric studies are easier. Indeed,  
75 the vertical structure of the polar vortices of giant gaseous planets is unknown, so that a  
76 2D model is a natural setting for a parametric study of that type of vortices. At the end of  
77 the present paper, we propose a comparison of our results with those of previous studies.  
78 This paper also complements the Morel and Carton (1994) study which considered vorticity  
79 profiles more representative of Earth oceanic vortices.

80

81 In the following section, we detail the linear and nonlinear model equations and their nu-  
82 merical implementation. In section 3, the properties of the linear instability are given for such  
83 multi-front, circular vortices. In section 4, we present and analyze the various nonlinear regimes  
84 for the evolution of such perturbed vortices. Section 5 is devoted to the analysis of vortex mul-  
85 tipoles formed by the instability of the circular vortex. Finally, we discuss our results, compare  
86 them with those of previous studies; conclusions and openings close this paper.

87 **2. Model equations and numerical implementation**

88 **2.1. Model equations**

89 In this paper, we study vortex stability in a two-dimensional incompressible fluid. The evolution  
 90 of the fluid is governed by the conservation of vorticity (the curl of the horizontal velocity)

$$\partial_t \zeta + J(\psi, \zeta) = 0 \tag{1}$$

where  $\zeta = \nabla^2 \psi$  is vorticity and  $\psi$  is the streamfunction. The Jacobian operator in polar coordinates is defined by

$$J(f, g) = \frac{1}{r} [\partial_r f \partial_\theta g - \partial_r g \partial_\theta f],$$

91 with  $v_r = (-1/r) \partial_\theta \psi$ ,  $v_\theta = \partial_r \psi$ , the radial and tangential velocities.

92  
 93 In view of the polar vortices observations (Antuñano *et al.* 2019, Ingersoll *et al.* 2022),  
 94 it is appropriate to define a radial profile of vorticity that is step-like, to retain only a few  
 95 parameters for our vortex stability problem (and also to allow a comparison with Morel and  
 96 Carton (1994) study).

97 Calling  $\bar{\zeta}(r)$  the radial profile of vorticity for the circular vortex, we have

$$\bar{\zeta}(r) = 1, \quad r < 1 \quad (\text{core}) \tag{2}$$

$$\bar{\zeta}(r) = \omega_0, \quad 1 < r < r_0 \quad (\text{trough}) \tag{3}$$

$$\bar{\zeta}(r) = \omega_1, \quad r_0 < r < r_1 \quad (\text{annulus}) \tag{4}$$

$$\bar{\zeta}(r) = 0, \quad r > r_1 \quad (\text{exterior}) \tag{5}$$

98 This mean vorticity profile is shown in figure (1). This vortex has piecewise-constant vorticity,  
 99 with three vorticity fronts: the inner, the intermediate, and the outer one. We call “core” the  
 100 part of the vortex bounded by the inner contour. We call “annulus” the part of the vortex  
 101 bounded by the intermediate and outer fronts. We call “trough” the region between the core  
 102 and the annulus.  
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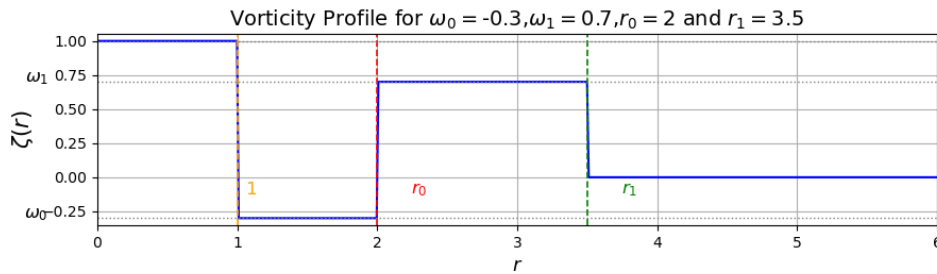


Figure 1. Radial vorticity profile of the circular vortex.

104 The associated stream-function  $\bar{\psi}(r)$  and azimuthal velocity  $\bar{V}(r)$  can easily be computed  
 105 using their continuity at each vorticity front. Here, the annulus vorticity  $\omega_1$  is varied between  
 106 0.1 and 10. The trough vorticity  $\omega_0$  will either be negative, zero, or larger than unity to satisfy  
 107 the Rayleigh criterion for barotropic instability.

## 108 **2.2. Linear instability**

109 Our aim is to determine under which conditions a perturbation with an angular mode  $l$ ,  
 110 comparable with the observed number of peripheral vortices at the Jupiter poles (e.g.,  $l = 5$   
 111 or  $l = 8$ ), can grow on this circular vortex. We resort to normal mode theory to assess the  
 112 stability of this vortex<sup>1</sup>. We perturb the radius of each vorticity front  $R_j$  from a constant value  
 113  $R_{0j}$  to one oscillating with the angle  $\theta$ :

$$R_j(\theta, t) = R_{0j} + \epsilon \Re [a_j \exp(il(\theta - ct))] \quad (6)$$

where  $R_{01} = 1, R_{02} = r_0, R_{03} = r_1$ . Here  $\epsilon$  is small, and  $c = c_r + ic_i$  with  $c_r$  the perturbation  
 phase speed and  $\sigma = lc_i$  its growth rate. This vorticity front displacement is associated with  
 a streamfunction perturbation

$$\psi'(r, \theta, t) = \epsilon \Re [\phi(r) \exp(il(\theta - ct))]$$

114 The vorticity equation (1) is linearized around the mean (circular) vortex flow to yield the  
 115 Rayleigh equation:

$$[\bar{V}/r - c] \zeta' - \psi' \frac{d\bar{\zeta}}{dr} = 0. \quad (7)$$

116 Using the Rayleigh criterion for barotropic instability, we note that if  $0 < \omega_1 < 1$  and  
 117  $\omega_0 \in [\omega_1, 1]$ , the vortex is linearly stable to normal mode perturbations.

118 In appendix A, we use an asymptotic expansion to determine whether the Rayleigh criterion  
 119 is also sufficient in our case. The result is that it is not.

120

121 The theory of barotropic instability, considered as the growth of resonant Rossby waves on  
 122 the vorticity fronts, indicates that :

123 - if  $\omega_0 = 0$ ,  $r_0 \simeq 1 + \epsilon$  and  $r_1 \gg r_0$  the predominant resonance will occur between the inner  
 124 and intermediate vorticity fronts. Conversely, with  $r_1 \simeq r_0 \gg 1$ , the predominant resonance  
 125 will occur between the intermediate and outer vorticity fronts.

126 - if  $\omega_0 < 0$  with  $\omega_1$  finite (e.g. unity), the velocity shear will be stronger in the trough, which  
 127 will favor instability there. On the contrary, if  $\omega_0 = 1 + \epsilon$  and  $\omega_1$  is much larger than unity,  
 128 the shear will be stronger in the annulus (if it is narrow).

129

130 Coming back to the Rayleigh equation, note that, in each radial domain ( $]R_j, R_k[$ ), the  
 131 gradient of mean vorticity is zero and so is the perturbation of relative vorticity. This leads to

$$\frac{d^2\phi}{dr^2} + \frac{1}{r} \frac{d\phi}{dr} - \frac{l^2}{r^2} \phi = 0 \quad (8)$$

132 leading to  $\phi(r) = \alpha_j r^l + \beta_j r^{-l}$  in each radial domain. For finiteness of  $\psi'$ , one has  $\beta_1 = \alpha_4 = 0$ .  
 133 The continuity of  $\phi$  at each vorticity front, and the integration of equation (2) around each  
 134 mean vorticity front position  $r_{0j}$ , lead to a  $3 \times 3$  system of linear equations which is solved by  
 135 a matrix method (see details in section 3).

## 136 **2.3. Nonlinear numerical model**

137 The nonlinear vorticity equation (1) is solved using the Fluid2D package (Roulet 2025).  
 138 Fluid2D is a Python-Fortran code for the simulation of two-dimensional incompressible flows.  
 139 It can accomodate several equations (e.g. the vorticity equation and a temperature evolution  
 140 equation). It is based on the resolution of dynamical equations with finite volumes. Time

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<sup>1</sup>The growth of transient perturbations could be computed via the singular mode analysis; this is left for future work

stepping is done with a third order Runge Kutta scheme. In our experiments, the spatial (horizontal) grid has  $128 \times 128$  nodes, and the time step is adapted from an initial guess of 0.2 model time units. For this model we used a 5-order upwinded interpolation, as explained in Roulet (2025). This scheme runs without any explicit dissipation.

To check the validity of our results, we ran identical simulations with a nonlinear pseudo-spectral code of the two-dimensional vorticity equation. We used a  $256 \times 256$  horizontal resolution for this model. These simulations (not shown here) confirmed the results of the Fluid2D code.

In the Fluid2D model, the initialization is achieved using the circular vortex (equations 2-5), with vorticity fronts displaced by a 1% white noise perturbation.

The growth of the various modes of perturbation during the nonlinear evolution of the linearly unstable vortices is quantified via a Fourier analysis. We compute the amplitude of each mode ( $l$ ) via :

$$A_l := \frac{1}{2\pi} \sqrt{\left(\int_0^{2\pi} \psi' \cos(l\theta) d\theta\right)^2 + \left(\int_0^{2\pi} \psi' \sin(l\theta) d\theta\right)^2}.$$

In particular, we checked that during the linear stage of the evolution, the most unstable mode grows at the rate indicated by linear theory.

### 3. Linear instability of vortices with a ring

#### 3.1. Analytical calculations

To relate the coefficients  $\alpha_j, \beta_j$  of  $\psi'$  with the radial displacements  $a_j$ , we apply the following conditions at each vorticity front :

- continuity of radial velocity :  $[\frac{1}{r} \partial_\theta \psi]_r = 0$ , which applies to the perturbation
- continuity of tangential velocity :  $[\partial_r \psi]_r = 0$ , which applies to both the mean flow and the perturbation
- kinematic equation of the front :  $(\partial_t + \frac{1}{r} \frac{\partial \bar{\psi}}{\partial r} \partial_\theta) r' = -\frac{1}{r} \partial_\theta \psi'$

The continuity of radial velocity simply gives  $[\phi(r)] = 0$  (continuity of  $\phi$ ) as  $\bar{\psi}$  doesn't depend on  $\theta$ . This leads to the following three relations :

$$\begin{aligned} \alpha_1 &= \alpha_2 + \beta_2, (\beta_1 = 0 \text{ for non - divergence}), \\ \alpha_2 r_0^l + \beta_2 r_0^{-l} &= \alpha_3 r_0^l + \beta_3 r_0^{-l}, \\ \alpha_3 r_1^l + \beta_3 r_1^{-l} &= \beta_4 r_1^{-l}, (\alpha_4 = 0 \text{ for non - divergence}), \end{aligned}$$

and we can then determine all coefficients with respect to  $\alpha_2, \beta_2$  and  $\alpha_3$  only :

$$\alpha_1 = \alpha_2 + \beta_2, \beta_3 = \beta_2 + (\alpha_2 - \alpha_3) r_0^{2l} \text{ and } \beta_4 = \beta_3 + \alpha_3 r_1^{2l} = \beta_2 + (\alpha_2 - \alpha_3) r_0^{2l} + \alpha_3 r_1^{2l}.$$

164

We apply a Taylor expansion to the continuity of tangential velocity :

$$\partial_r \psi(r) = \partial_r (\bar{\psi} + \varepsilon \psi') (\bar{r} + \varepsilon r') \tag{9}$$

$$\approx \partial_r \bar{\psi} (\bar{r}) + \varepsilon (r' \partial_{rr}^2 \bar{\psi} + \partial_r \psi') \tag{10}$$

Then, we have  $[r' \partial_{rr}^2 \bar{\psi} + \partial_r \psi']_r = 0$ .

Inserting  $r'_i = \eta_i e^{il(\theta-ct)}$  ( $r' \in \{1, r_0, r_1\}$ ), we have :

$$\eta_j [\bar{\zeta}] + \left[ \frac{d\phi}{dr} \right]_{r_j} = 0$$

165 We obtain three equations relating the radial displacements and the perturbation stream-  
166 function:

$$\begin{aligned} \eta_1 &= \frac{-2l\beta_2}{\omega_0 - 1} \\ \eta_2 &= \frac{2l}{\omega_0 - \omega_1} (\alpha_3 - \alpha_2) r_0^{l-1} \\ \eta_3 &= -\frac{2l}{\omega_1} r_1^{l-1} \alpha_3 \end{aligned}$$

The linearized kinematic equation is

$$\left( \frac{\bar{V}_\theta}{\bar{r}} - c \right) \eta_j = -\frac{1}{r_j} \left( \alpha_j r_j^l + \beta_j r_j^{-l} \right).$$

167 Finally we can express the three kinematic equations under the form  $A\eta = c\eta$  where  $\eta =$   
168  $(\eta_1, \eta_2, \eta_3)^T$ .

169 We have the following matrix :

$$A = \begin{pmatrix} \frac{1}{2} - \frac{1-\omega_0}{2l} & \frac{r_0^{1-l}(\omega_1-\omega_0)}{2l} & -\frac{r_1^{1-l}\omega_1}{2l} \\ -\frac{r_0^{-l-1}(1-\omega_0)}{2l} & \frac{\omega_0}{2} + \frac{1-\omega_0}{2r_0^2} + \frac{\omega_1-\omega_0}{2l} & -\left(\frac{r_0}{r_1}\right)^{l-1} \frac{\omega_1}{2l} \\ -\frac{1-\omega_0}{2lr_1^{l+1}} & \frac{1}{2l} \left(\frac{r_0}{r_1}\right)^{l+1} (\omega_1 - \omega_0) & \frac{\omega_1}{2} \left(1 - \frac{1}{l}\right) + (\omega_0 - \omega_1) \frac{r_0^2}{2r_1^2} + \frac{1-\omega_0}{2r_1^2} \end{pmatrix} \quad (11)$$

170 To each eigenvector  $\eta$  corresponds an eigenvalue  $c$  in this diagonalization problem. The values  
171 of  $c$  can also be found by solving  $\det(A - cI_3) = 0$  (providing a third degree polynomial). These  
172 eigenvalues are obtained in a range of  $\omega_0$ ,  $\omega_1$ ,  $r_0$ , and  $r_1$ , and the maximum growth rate of the  
173 instability is computed as the maximum of  $l|c_i|$  over a range of  $l$  (integer values)..

### 174 **3.2. Vortex instability in the reference case**

175 The study here is analogous and generalizes results obtained in Dritschel (1989). In this study,  
176 the author analyses evolution of a strip with different vorticity which corresponds to the  
177 interface between different layers in a shielded vortex. He also looks at the growth rates and  
178 studies how a vortex with different layers evolve in time.

179 Here we present the reference case, for which there is no vorticity in the trough. We study  
180 the maximal growth rates and the corresponding azimuthal wavenumbers, and interpret them  
181 as the resonance of Rossby waves on the vorticity fronts.

#### 182 **3.2.1. Growth rates and unstable waves for $\omega_0 = 0$ , $\omega_1 = 1$**

183 The maximal growth rates in the reference case are found in two regions of the parameter  
184 space :  $r_0 \approx 1$  or  $r_1 \approx r_0$  (Figure 2). As mentioned in our preliminary analysis, the first  
185 case corresponds to resonant inner and intermediate fronts and the second case to resonant  
186 intermediate and outer fronts, due to their relative proximity (the mean vorticity values being  
187 equal in the core and the annulus of the vortex). Physically, the area  $r_1 < r_0$  is excluded.

188 When vorticity fronts are close, it is possible for short perturbations to grow on them. This is  
189 evident here in the large values of  $l$  ( $\sim 10$ ) in these two cases. On the contrary, longer waves  
190 become predominant when the trough and annulus are both wide, corresponding to distant  
191 vorticity fronts.

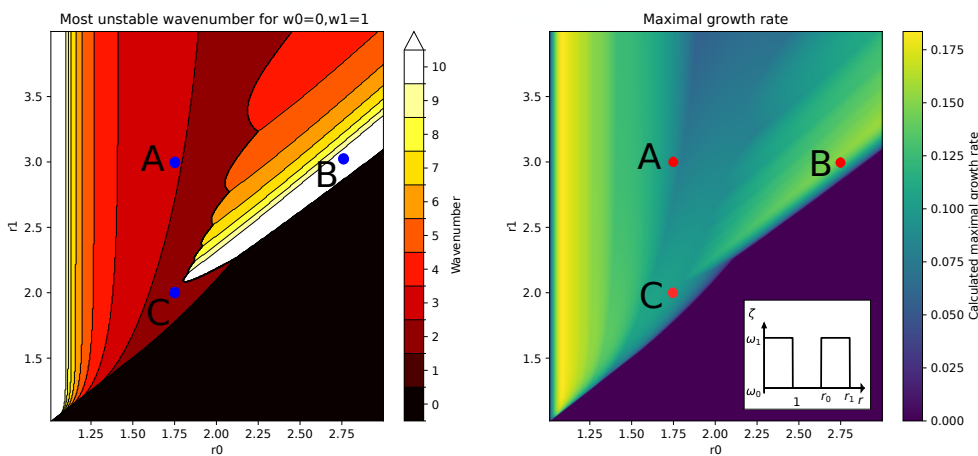


Figure 2. Instability for  $\omega_0 = 0$  and  $\omega_1 = 1$ ; left panel : most unstable mode; right panel : maximal growth rate over  $l = 1 - 10$ . Insert: radial profile of vorticity. Dots indicate the location of cases **A**, **B**, and **C** shown in Figures 3 and 4.

192 3.2.2. Wave resonance

193 The eigenvectors of the matrix (11) provide the spatial structure of the most unstable waves  
 194 of perturbation. Since the vorticity is piecewise constant, they indicate which contours will be  
 195 most disturbed (see figure 3).

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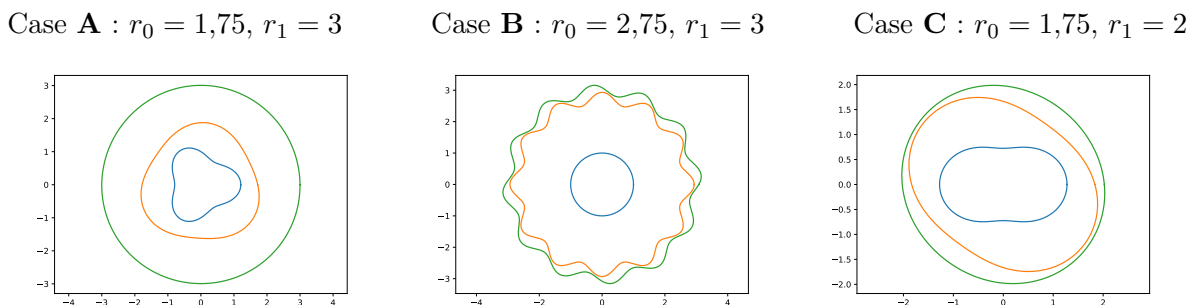
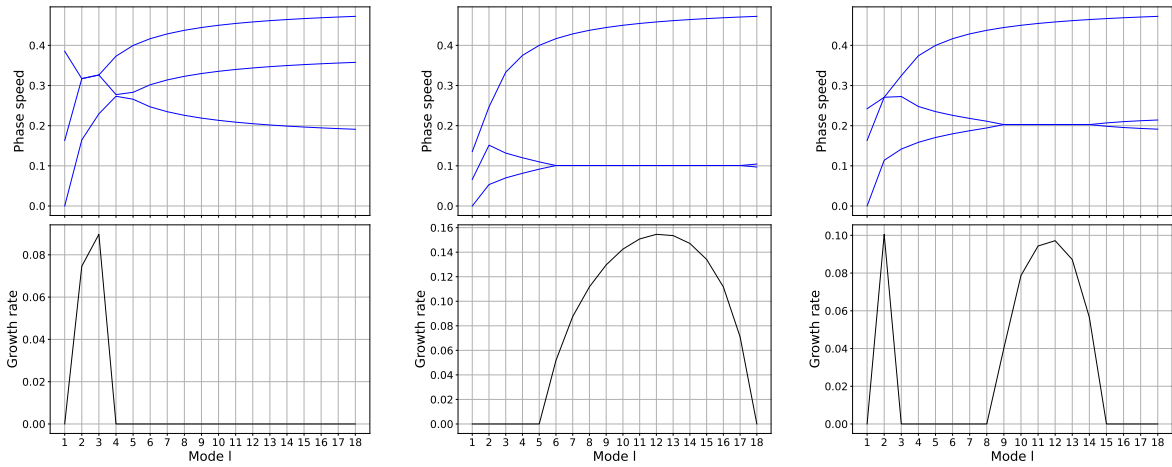


Figure 3. Vorticity contours of the most unstable linear eigenmodes for different  $(r_0, r_1)$  with  $\omega_0 = 0$  and  $\omega_1 = 1$ . From left to right: Case **A** ( $r_0 = 1.75, r_1 = 3$ ) with  $l = 3$ , Case **B** ( $r_0 = 2.75, r_1 = 3$ ) with  $l = 12$ , and Case **C** ( $r_0 = 1.75, r_1 = 2$ ) with  $l = 2$ .

197 For case **A**, the vorticity fronts are well separated. This allows for the growth of long-wave  
 198 perturbations (here  $l = 2, 3$ ) on the inner and intermediate vorticity fronts. On the contrary,  
 199 for case **B**, the intermediate and outer fronts are very close to each other, thus favoring the  
 200 growth of short-wave perturbations, here corresponding to a mode  $l = 9$ . For case **C**, where  
 201  $l = 2$  is the most unstable mode, the contours are deformed into an ellipse, which has a large  
 202 amplitude on the three vorticity contours.

203

204 Wave resonance can be explained by looking at the phase speeds and growth rates of the  
 205 modes in figure 4. The growth rate is non-zero when two waves have identical phase speeds. This  
 206 illustrates the interpretation of instability via wave resonance. In cases **A** and **B** we observe  
 207 long- and short-wave instabilities, which are interactions between the inner and intermediate  
 208 modes and the intermediate and outer mode, respectively. But in case **C**, we observe two  
 209 different growth rate maxima for long and short wave perturbations.



(a) Case **A**:  $r_0 = 1.75$ ,  $r_1 = 3$  (b) Case **B**:  $r_0 = 2.75$ ,  $r_1 = 3$  (c) Case **C**:  $r_0 = 1.75$ ,  $r_1 = 2$

Figure 4. Phase speeds (up) and growth rates (down) for different  $(r_0, r_1)$  with  $\omega_0 = 0$  and  $\omega_1 = 1$ .

210 **3.3. Sensitivity of linear instability to the relative amplitudes of mean vorticity**

211 **3.3.1. Case  $\omega_0 = 0$ ,  $\omega_1 = 0.1$**

212 Keeping zero vorticity in the trough, we investigate the influence of small peripheral vorticity.  
 213 This situation is shown in figure 5. As expected, the growth rates related to the resonance of  
 214 waves between the intermediate and outer fronts are small. The preferred resonance occurs  
 215 between Rossby waves on the inner and intermediate fronts. The consequences are that:  
 216 (1) growth rates decay strongly and vanish when the inner and intermediate fronts are too  
 217 distant from each other;  
 (2) a change in  $r_1$  does not influence the growth rates.

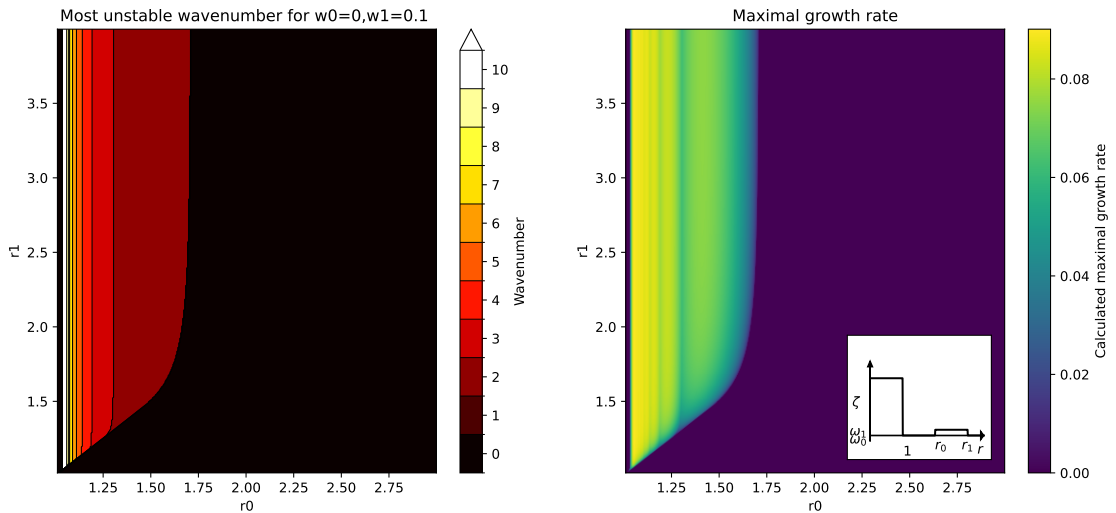


Figure 5. Instability for  $\omega_0 = 0$  and  $\omega_1 = 0.1$  left panels : most unstable wavenumber. right panels : growth rates. Insert: radial profile of mean vorticity.

218

219 **3.3.2. Case  $\omega_0 = 0$ ,  $\omega_1 = 10$**

220 Now, we consider the opposite situation where the peripheral vorticity is intense. The most  
 221 unstable waves and their growth rates are shown in figure 6. This large peripheral vorticity

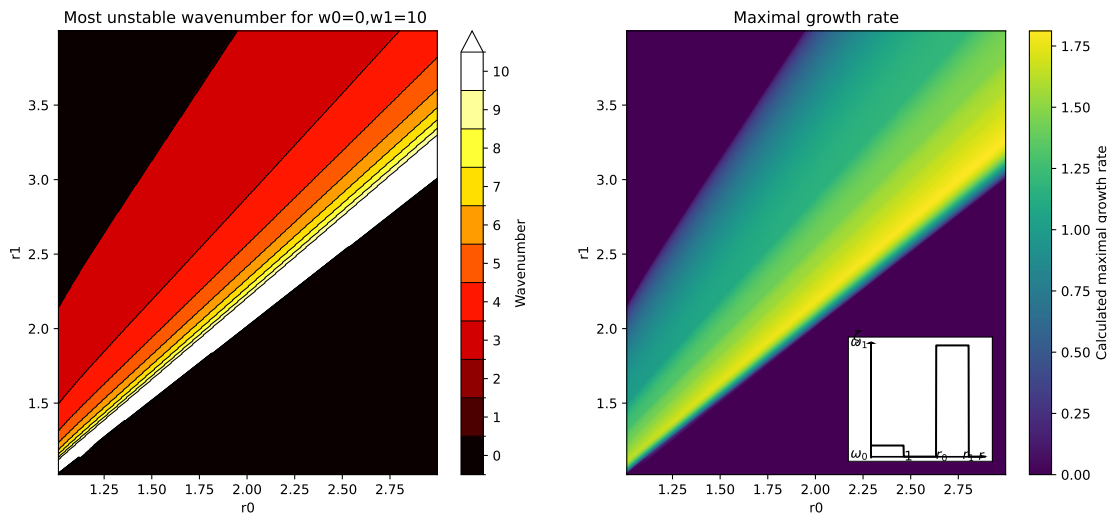


Figure 6. Instability for  $\omega_0 = 0$  and  $\omega_1 = 10$  ; left panel : most unstable mode. right panel : growth rates. Insert: radial profile of mean vorticity.

222 favors the resonance of the intermediate and outer frontal (Rossby) waves; their interaction  
 223 with the Rossby wave on the inner front is much weaker. This is clearly manifested in the  
 224 growth rates which are maximal for  $r_0 \simeq r_1$ , allowing the growth of short waves. When  
 225  $r_1 > r_0$ , longer waves become more unstable and eventually when  $r_1 \gg r_0$ , the flow is stable.

226 3.3.3. Case  $\omega_0 = -0.5, \omega_1 = 1$

227 We now return to the reference case for the peripheral vorticity, with  $\omega_1 = 1$ , but allow  
 228 for a negative mean vorticity in the trough. This corresponds to figure 7. The maps are very  
 229 close to the reference case in terms of the most unstable wavenumber and resonances between  
 230 waves. The only difference is that the growth rate values are larger due to the larger vorticity  
 231 gradients at the inner and intermediate fronts.

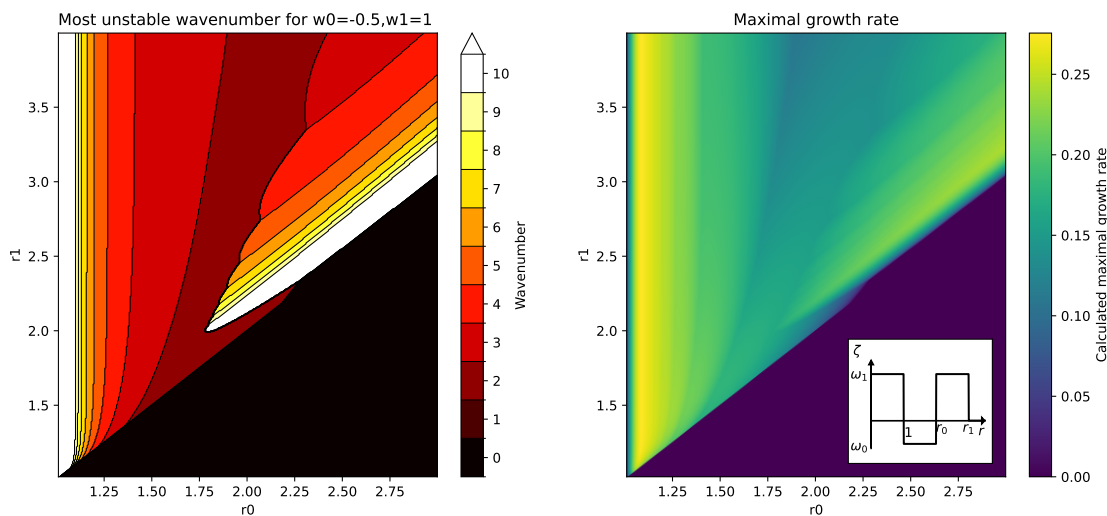


Figure 7. Instability for  $\omega_0 = -0.5$  and  $\omega_1 = 1$ ; left panel : most unstable wavenumber. right panel : growth rates. Insert: radial profile of mean vorticity.

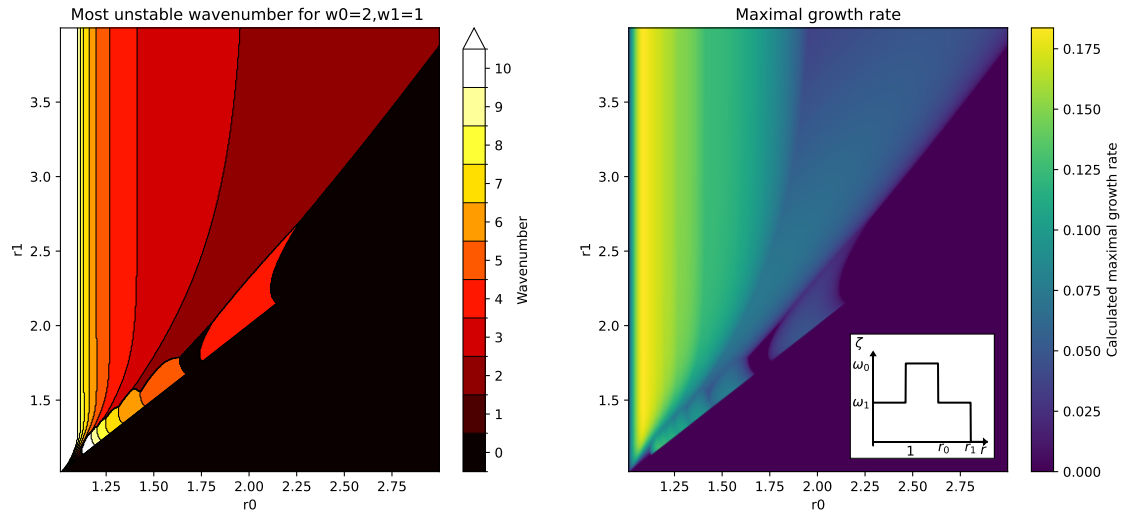


Figure 8. Instability for  $\omega_0 = 2$  and  $\omega_1 = 1$  ; left hand panel : unstable wavenumber. right panel : growth rates. Insert: radial profile of mean vorticity.

232 3.3.4. Case  $\omega_0 = 2, \omega_1 = 1$

233 We now consider an opposite case, in which the trough vorticity  $\omega_0 > 1$ , with  $\omega_1 < \omega_0$  (Fig.  
 234 8). Now only the Rossby waves on the inner and intermediate vorticity fronts can resonate.  
 235 This is expected since they have opposite vorticity jumps, whereas the intermediate and outer  
 236 fronts have like-signed jumps. Again, short unstable waves are favored when the fronts are  
 237 close ( $r_0 \simeq 1$ ), while longer waves are found for more distant fronts.

238 3.4. Linear instability of an annulus without core vortex

239 Referring to Reinaud and Dritschel (2019), we study the instability of a single annulus without  
 240 central vorticity, i.e.  $q_c = 0$  and  $q_0 = 1$ . The growth rates and most unstable waves are presented  
 in figure (9). Both the growth rate and wave numbers depend on the difference between  $r_0$

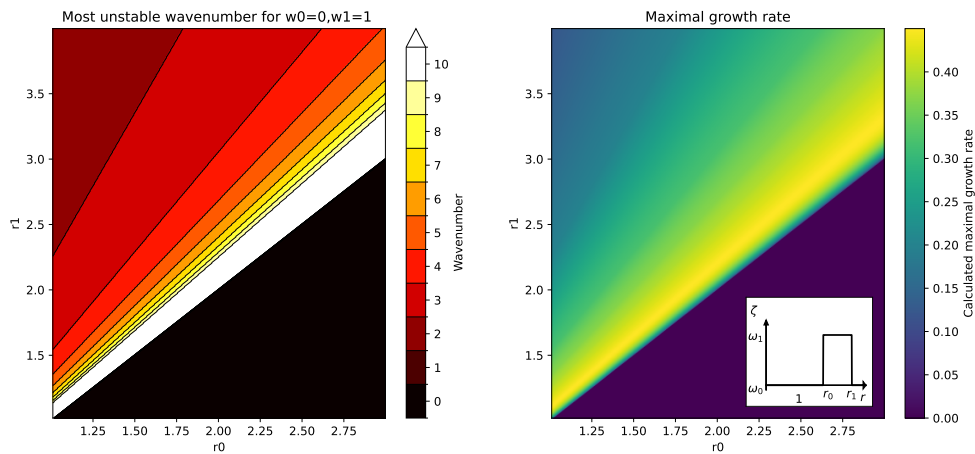


Figure 9. Instability of a vortex annulus with  $\omega_0 = 1$  ; left : most unstable wavenumber. Right : growth rates. Insert: radial profile of mean vorticity

242 and  $r_1$ . Indeed, the closer the vorticity fronts, the more sensitive they are to Rossby wave  
 243 resonance.

#### 244 4. Nonlinear evolution of unstable vortices

245 Here, we study the nonlinear evolution of perturbed vortices using the code described in Section  
 246 2.3. Nonlinear evolutions for the cases **A** and **B**, previously studied in Section 3.2 (Figure 3)  
 247 are shown in Figures 10 and 11, respectively.

##### 248 4.1. Validation of the nonlinear growth rates compared to linear predictions

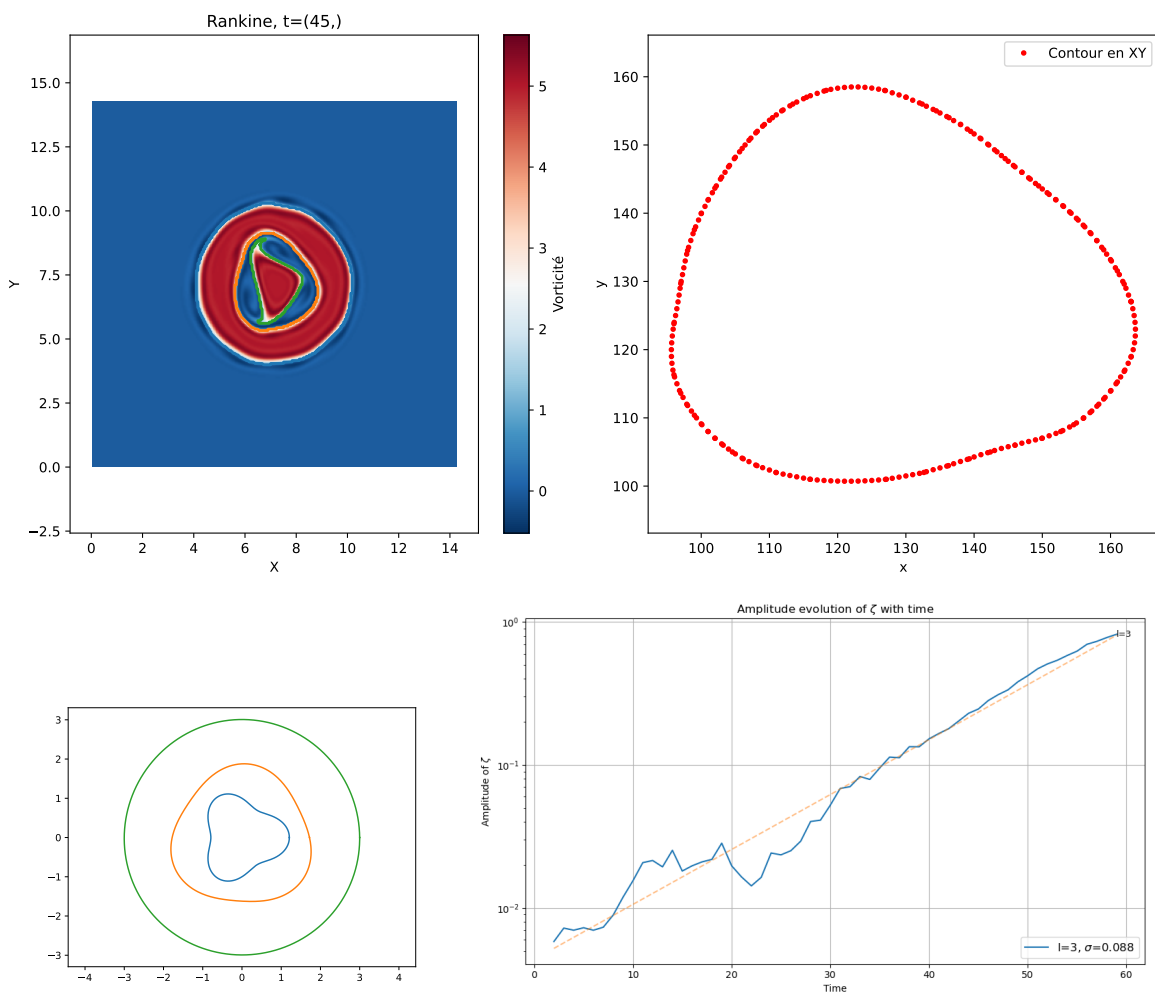


Figure 10. Case:  $\omega_0 = 0, \omega_1 = 1, r_0 = 1.75, r_1 = 3.0$ . Top: Vorticity map at time  $t = 45$  of the nonlinear numerical model (left) and extraction of the intermediate contour (right). Bottom-left: most unstable (linear) eigenvector. Bottom-right: growth of the most unstable perturbation ( $l = 3$ , blue line) in the nonlinear model from the extracted contour, compared with the linear prediction (orange line).

249 We first compare the wave modes and growth rates of the most unstable modes, between  
 250 the analytical (linear) calculation and the numerical (nonlinear) simulations (Figures 10 and  
 251 11). For case **A**, mode  $l = 3$  is the most unstable in both cases. The analytical calculation  
 252 yields a growth rate  $\sigma \sim 0.09$ , while the nonlinear simulation yields a value of  $\sigma = 0.088$   
 253 for mode  $l = 3$ , which is very close to the analytical result (Fig. 10). For case **B**, the linear

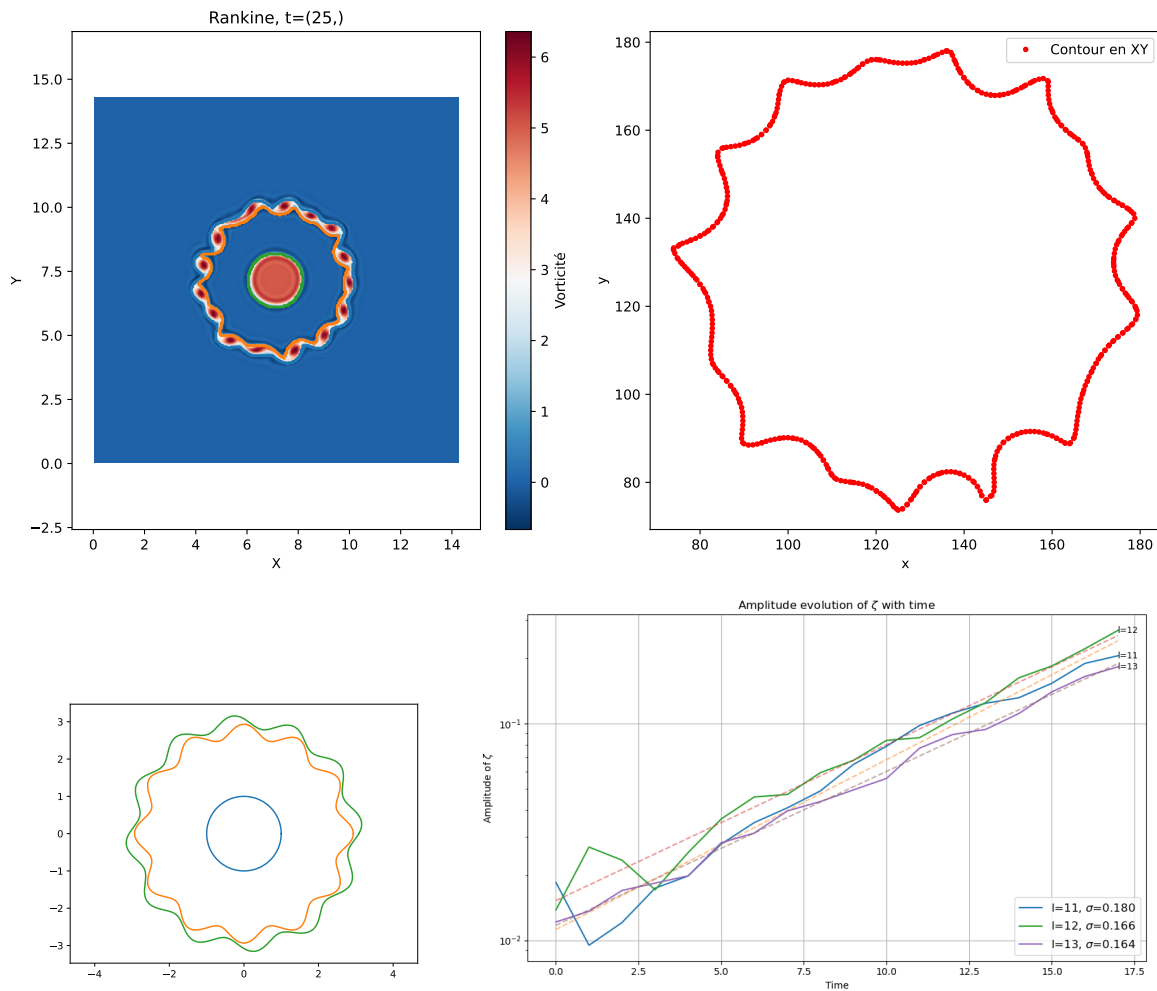


Figure 11. Case:  $\omega_0 = 0, \omega_1 = 1, r_0 = 2.75, r_1 = 3.0$ . Top: Vorticity map at time  $t = 25$  of the nonlinear numerical model (left) and extraction of the intermediate contour (right). Bottom-left: most unstable (linear) eigenvector. Bottom-right: growth of the most unstable perturbations ( $l = 11, 12, 13$ ) in the nonlinear model from the extracted contour, compared with the linear prediction (orange line).

254 calculation indicated that mode  $l = 12$  is the most unstable, with a growth rate  $\sigma \sim 0.155$ .  
 255 In the nonlinear simulation the three modes  $l = 11, 12, 13$  have comparable growth rates (Fig.  
 256 11), with a growth rate of  $\sigma = 0.166$  for mode  $l = 12$ . Differences between the linear and  
 257 nonlinear values are smaller than 10%.

258 Both the linear and nonlinear models show the vorticity contours on which the eigenvectors  
 259 are dominant. The inner and intermediate contours are dominant for case **A** (Fig. 10), and  
 260 the intermediate and outer contours are dominant for case **B** (Fig. 11). It should also be noted  
 261 that the numerical model growth rates are obtained via a linear regression (best fit) ; this  
 262 calculation is sensitive to the time interval chosen. In particular, at early times, there may  
 263 be a topological rearrangement of the initial perturbation towards the linearly most unstable  
 264 mode (see for instance the green curve for mode  $l = 12$  in Fig. 11).

#### 265 4.2. Classification of nonlinear regimes

266 Different nonlinear evolutions can be observed depending on the values of  $r_0, r_1, \omega_0$ , and  $\omega_1$ .  
 267 The nonlinear regimes are classified in the  $(r_0, r_1)$  plane for various values of  $\omega_0$  and  $\omega_1$ . The  
 268 main regimes (nonlinear evolutions) observed are:

- 269 (a) **(Quasi) stability** : stabilization of linearly unstable waves at finite amplitude, for which  
 270 the vortex remains coherent for a long time
- 271 (b) **Core instability** : breaking of linearly unstable waves on the inner and intermediate  
 272 contours, the outer contour remaining coherent
- 273 (c) **Ring instability** : breaking of linearly unstable waves on the intermediate and outer  
 274 contours, the inner contour remaining coherent
- 275 (d) **Vortex breaking** : complete splitting of the whole vortex ; all unstable waves grow  
 276 unbounded
- 277 (e) **Mixed instability** : Perturbations on both inner and outer contours, a mix between  
 278 cases (b) and (c).

279 We can relate these main types of evolution to the physical parameters of the mean vortex:  
 280  $r_0, r_1, \omega_0, \omega_1$ .

281 Figure 13 presents the different nonlinear regimes of the linearly unstable vortex. Evolution  
 282 (a) corresponds to the whole vortex undergoing little change in its outer contour and the inner  
 283 vorticity rearranging on the dominant linear mode ( $l$ ) (Fig. 12). Evolution (b) corresponds to  
 284 the core breaking up into  $l$  fragments (Fig. 12). For  $r_1$  close to  $r_0$ , instabilities affect mostly the  
 285 vortex periphery, with the annulus breaking up into  $l$  independent vortices (Fig. 12); this cor-  
 286 responds to evolution (c). As soon as we make  $r_1$  sufficiently close to  $r_0$ , an instability appears  
 287 on the annulus. For certain values of  $r_1$  larger than  $r_0$  without being more than  $1.5r_0$ , a mixed  
 288 instability can be observed: both on the core and on the ring. This corresponds to evolution (e).

289  
 290 When we allow for negative vorticity in the trough ( $\omega_0 < 0, \omega_1 > 0$ ), the stability analysis  
 291 shows the same unstable modes, but with a much larger growth rate (Fig. 7). This leads to  
 292 very different nonlinear evolutions ending up in vortex breaking in most cases (Fig. 14). In the  
 293 event of vortex breaking, the opposite vorticity poles rearrange and reassemble into dipoles,  
 294 which then drift away (Fig. 12). For this configuration, we can only observe ‘core instability’  
 295 similar to that of the reference case when the intermediate contour is very close to the vortex  
 296 core ( $r_0 \approx 1$ ), i.e. when the mean vortex has little opposite-signed circulation in its central  
 297 ring. In the configuration  $r_0 = 1.25, r_1 = 3.5, \omega_0 = -1, \omega_1 = 1$ , several unstable waves with  
 298 different azimuthal modes  $l$  grow on the vortex rings (‘Mixed instability’). The end-state is  
 299 composed of several vorticity patches at the center of a vortex with unit vorticity (Fig. 12).

300  
 301 In the case with large vorticity in the annulus,  $\omega_0 = 0, \omega_1 = 10$ , we expect the most unstable  
 302 modes to be short waves in the annulus, as per the stability analysis (Fig. 6). This is also  
 303 what we observe with the nonlinear simulations, with the ‘Ring instability’ dominating in  
 304 most cases (Fig. 15). The ring then breaks into  $l$  peripheral vortices in most cases. It is only  
 305 for distant intermediate and outer vorticity contours ( $r_0 = 1.25, r_1 \geq 3$ ) that the vortex is  
 306 nonlinearly stable (‘Quasi stability’). In this case, the initial unstable mode  $l = 8$  decays into  
 307 a mode  $l = 6$  and then into a combination of modes  $l = 2, 3$ , finally generating (via nonlinear  
 308 interaction), a mode  $l = 1$  on the vortex contour, accompanied by small scale vorticity debris  
 309 (Fig. 12). This shows that, during non-linear evolution, different modes can interact and  
 310 transfer energy. This is detailed in the next subsection.

### 312 **4.3. Mode interactions during the nonlinear evolution**

313 Firstly, we analyze the evolution of the unstable circular vortex leading to ring instability,  
 314 for which many perturbation waves grow to comparable amplitudes. This specific case corre-  
 315 sponds to parameters  $\omega_0 = 0, \omega_1 = 10, r_0 = 1.25, r_1 = 3$  considered in figure 15 (a quasi-stable  
 316 evolution). With these parameters, the resonance occurs between the intermediate and the

14

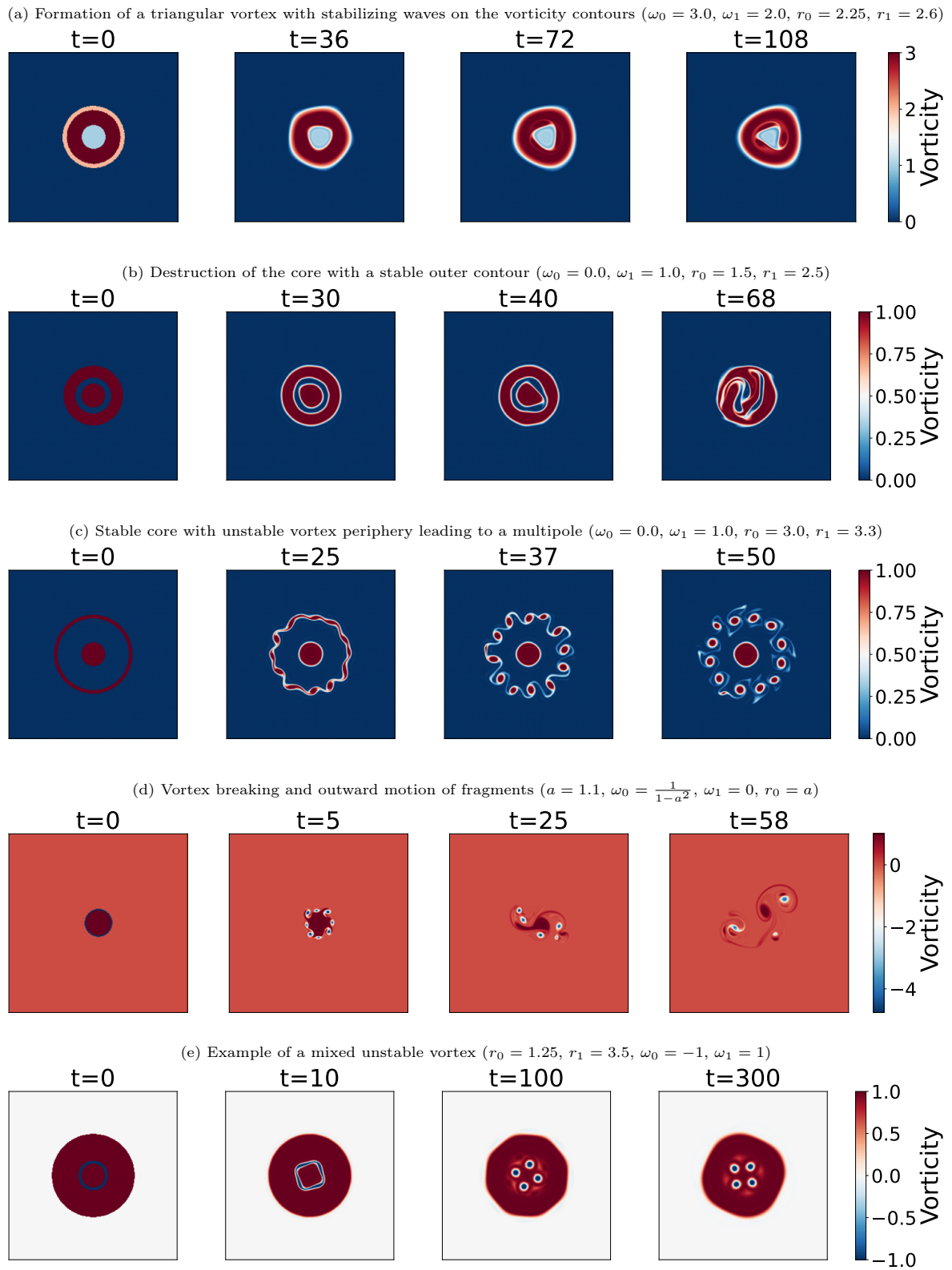


Figure 12. Vorticity fields showing different unstable vortex evolutions simulated with the nonlinear numerical model: (a) **(Quasi) stability**, (b) **Core instability**, (c) **Ring instability**, (d) **Vortex breaking**, (e) **Mixed instability**.

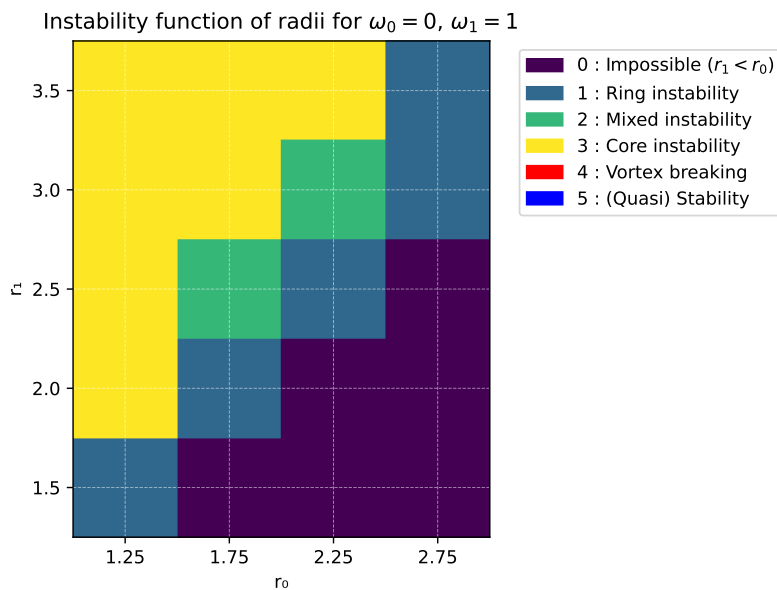


Figure 13. Nonlinear regimes of instability in the numerical model, for the reference case ( $\omega_0 = 0.0, \omega_1 = 1.0$ ). The main evolutions affect either the vortex core, or its periphery.

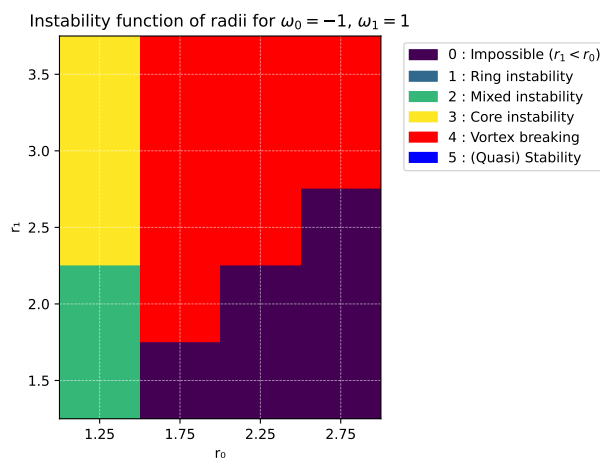


Figure 14. Nonlinear regimes of instability for  $\omega_0 = -1$  and  $\omega_1 = 1$ ; see previous caption for details.

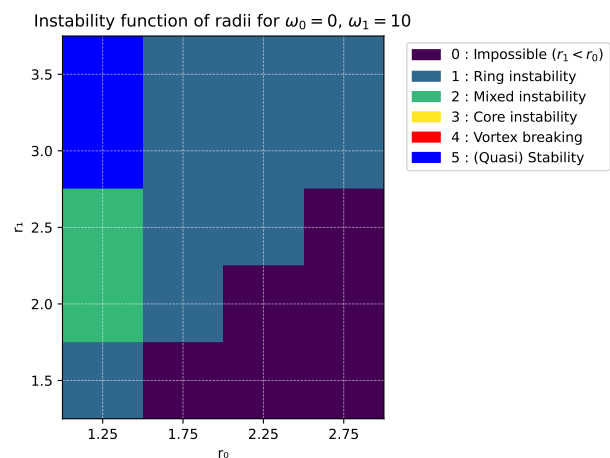


Figure 15. Nonlinear regimes of instability for  $\omega_0 = 0$  and  $\omega_1 = 10$ ; see previous caption for details.

317 outer vorticity fronts. Indeed, the angular Fourier analysis of the outer vorticity contour shows  
 318 that mode  $l = 8$  grows first, followed by mode  $l = 6$  and subsequently by mode  $l = 5$  on this  
 319 front (and on the intermediate front, Fig. 16). In this case, several modes have comparable  
 320 linear growth rates. This linear characteristic is reflected in the slope of the amplitude curves  
 321 for the nonlinear simulation. The delay in the growth of modes 5 and 6 with respect to mode  
 322 8 may be due to the geometrical structure of the initial perturbation. The initial perturbation  
 323 must be closer to the mode 8 eigenvector than to that of modes 5-6.

324 A second observation is that, as modes 5-6 grow, the amplitude of mode 8 decays. During  
 325 this stage ( $t = 10 - 30$ ), mode  $l = 6$  prevails. This indicates an energy transfer between the  
 326 former and the latter (via mode 2 of small amplitude). Finally, all modes 5 - 6 - 8 reach a  
 327 comparable amplitude, corresponding to the final stabilization of the vortex.

328

329 Next we investigate a different case that also leads to the formation of multipoles, namely

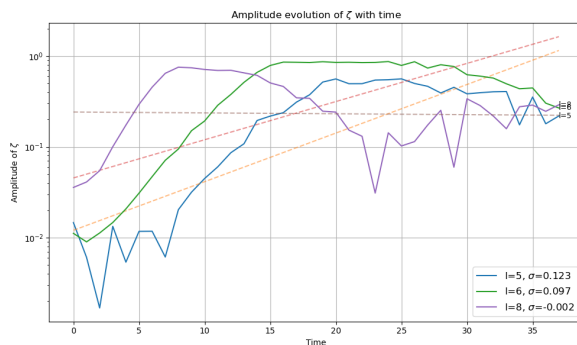


Figure 16. Fourier analysis of the case  $\omega_0 = 0, \omega_1 = 10, r_0 = 1.25, r_1 = 3$

330 the one shown in the third row of figure 12. In this case, the vortex parameters are  $\omega_0 = 0, \omega_1 =$   
 331  $1, r_0 = 3, r_1 = 3.3$ . Its evolution is characterized as “ring instability” in the Table of figure 13.  
 332 The Fourier angular mode analysis is presented in figure 17 : the nonlinear evolution shows  
 333 that only mode  $l = 10$  grows substantially. This mode is the most unstable one linearly and  
 334 the nonlinear growth rate (close to 0.145 for  $l = 10$  ) is close to the linear prediction (0.146 for  
 335  $l = 9$ ). Now it is also clear that neither mode  $l = 5$  (the subharmonic), nor modes  $l = 15, 20$   
 336 (the various harmonics), grow substantially during the nonlinear evolution. Therefore, wave-  
 337 wave interaction is weak in this case.

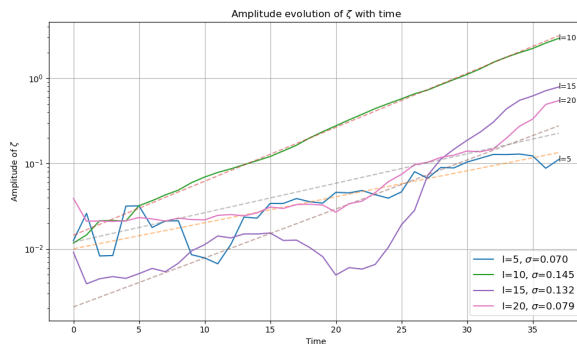


Figure 17. Fourier analysis of the case  $\omega_0 = 0, \omega_1 = 1, r_0 = 3, r_1 = 3.3$

338 **5. Multipole formation**

339 We investigate here in more detail the formation of multipoles observed at the final stages of  
 340 ring instabilities.

341 **5.1. Observations of multipole formation**

342 Detailed tables describing the nonlinear evolutions and final vortex states for various values  
 343 of  $\omega_0$  and  $\omega_1$  are provided in appendix B. The cases  $\omega_0 = -1, \omega_1 = 1$  and  $\omega_0 = 0, \omega_1 = -0.1$   
 344 do not lead to the formation of multipoles with 5 or more satellites, but respectively to  
 345 turbulence and to the formation of tripoles or of quadrupoles.

346  
 347 A few cases of multipole formation with many satellites (from 10 to 21) are observed for  
 348  $\omega_0 = 0, \omega_1 = 1$ , but many of them break and ultimately lead to a turbulent final state.  
 349 Nevertheless, one case with 8 peripheral vortices is found for  $r_0 = 4.9, r_1 = 5.5$ . This

350 corresponds to satellite vortices much farther from the core than is observed at the North  
351 Pole of Jupiter.

352

353 For  $\omega_0 = 0, \omega_1 = 10$ , more multipoles are observed, with fewer satellites (5 to 9); for  
354 similarity with the polar vortices of Jupiter, a multipole with 8 satellites is formed for  
355  $r_0 = 1.8, r_1 = 2.0$  and  $r_0 = 3.0, r_1 = 3.3$ . Geometrically, this is close to the spatial observa-  
356 tions. Nevertheless, physically, the condition  $\omega_1 = 10$  does not apply to Jupiter (for which  
357 the core and satellite vortices have approximately the same velocity maximum at similar radii).

358

359 For  $\omega_0 = -0.1, \omega_1 = 1$ , we observe multipoles with 7 to 13 satellites which can degenerate  
360 in the long run. This underlines the stabilizing role of weak, opposite-signed vorticity between  
361 the core and the peripheral vortices. This inner ring of negative vorticity must not be too  
362 strong (e.g the  $\omega_0 = -1\omega_1 = 1$  case) or else the vortex breaks irreversibly into small vortices  
363 and filaments (a turbulent field).

### 364 5.2. Stationary states of like-signed multipoles

365 Since the invariant integral method is not completely satisfactory, we look for steadily rotating  
366 steady states with  $N = 8$  and  $N = 5$  satellite vortices. We normalize the outer radius ( $r_1$ ) to  
367 unity and define the two parameters  $a = r_0/r_1$  and  $b = 1/r_1$ . Following the algebra by Morel  
368 and Carton (1994), we obtain analytical formulae for the shape of such multipoles (Fig. 18).  
369 These shapes are qualitatively similar to those obtained by a numerical steady state algorithm,  
370 and also identical to that used in Morel and Carton (1994). Peripheral vortices for  $N = 5$  and  
371  $N = 8$  have slightly different shapes between the analytical and numerical results; this is  
372 explained by the hypotheses  $\delta_a := \frac{r_a}{r_c} \ll 1$  and  $\delta_b \ll 1$  used to compute the analytical  
373 steady states, while in the case presented here,  $\delta_a \approx \delta_b \approx 0.3$ . The numerical algorithm is more  
374 precise (up to second order in the small  $\delta$  ratios) and should be considered as the reference.  
375 Note also that the steady states are close to the multipoles resulting from the instability of a  
376 circular vortex with the same parameters.

377

### 378 5.3. Stability of the stationary states

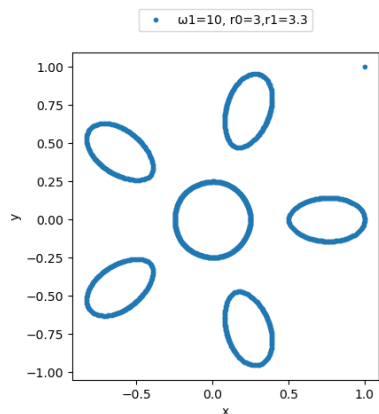
379 Firstly, we have used the multipolar state with  $\omega_0 = 0.0, \omega_1 = 1.0$  and  $r_0 = 5.0, r_1 = 7.0$   
380 as an initial condition for the nonlinear model. We have perturbed it initially with a 1%  
381 white noise disturbance in vorticity. The simulation lasted 80 turnover periods. It showed  
382 only a slight vacillation of the vorticity contours, but no destabilization. The final multipole  
383 was very similar to the initial state, we can deduce that the vortex is stable on this long period.

384

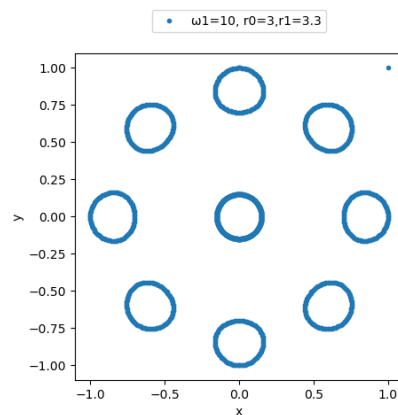
385 Now, we propose a simple analytical criterion for the stability of multipoles. Considering that  
386 all vortices have like-signed vorticity, we consider the case where they have equal vorticity and  
387 radii (the simplest case). Then, it is known that two circular vortices merge if their initial  
388 distance is less than about 3.2 radii (or 3.3 depending on the calculus, see Melander *et al.*  
389 (1988) and Dritschel (1986)). This criterion can be applied to the interaction between two  
390 satellite vortices, or between one satellite and the core vortex.

391 Using the notation  $a, b$  above for the vortex boundaries, and assuming that  $b = (1 - a)/2$ , the  
392 center and satellites will not merge if  $(1 + a)/2 > 3.2 b = 3.2 (1 - a)/2$ , or roughly  $b < 0.25$   
393 and  $a > 0.5$ . This is indeed the case of the multipole shown here above.

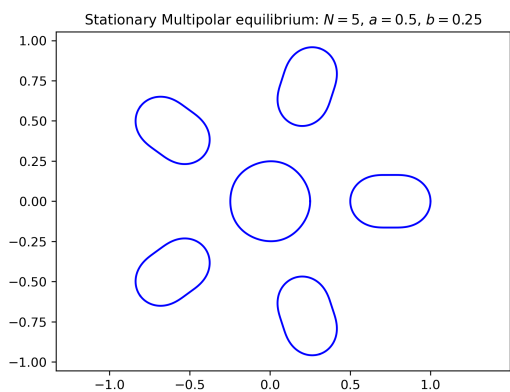
394 Two satellites (among  $N$ ) will not merge if their distance  $d = 2 \sin(\pi/N) (1 + a)/2 > 3.2 (1 -$   
395  $a)/2$  or, with  $N$  large,  $2\pi (1 + a)/N > 3.2 (1 - a)$ . This expression can be simplified into



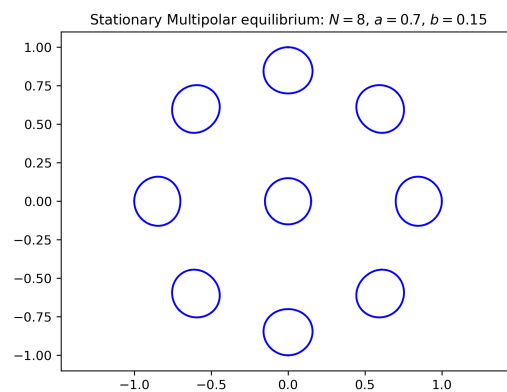
(a) Numerical steady state of a multipole for  $N = 5$



(b) Numerical steady multipole for  $N = 8$



(c) Analytical steady multipole for  $N = 5$



(d) Analytical steady multipole for  $N = 8$

Figure 18. Comparison between analytical and numerical steady states for  $N = 5$  and  $N = 8$ . For  $N = 5$ :  $r_0 = 2$ ,  $r_1 = 4$ . For  $N = 8$ :  $r_0 = 5.0$ ,  $r_1 = 7.0$ . Parameters:  $\omega_0 = 0.0$ ,  $\omega_1 = 1.0$ .

396  $(1 + a)/(1 - a) > (3.2N)/(2\pi) N/2$ . With  $N = 8$ , we have  $a > 0.6$ , which is close to the limit  
 397 of the previous paragraph. This is also verified by our stable multipole, studied numerically  
 398 before.

## 399 6. Discussion and comparison to former studies

400 Firstly, we summarize the main findings of this study. Secondly, we compare them with the  
 401 results of previous studies. Finally, we consider the specific cases of the Jupiter polar vortices.

### 402 6.1. Main results and specificity of the present study

403 Firstly, we have determined the linear instability characteristics (for normal modes) of the  
 404 multi-front, like-signed vortices and we have characterized the dominant frontal wave reso-  
 405 nance depending on  $r_0, r_1, \omega_0, \omega_1$ . We have considered analytically the cases that marginally  
 406 satisfy the conditions for linear instability (see Appendix A).

407 For  $\omega_0 = 0$ , when  $\omega_1 \approx 1$ , wave resonance is controlled by the proximity of two among the  
 408 three vorticity fronts. When two such fronts are very close, short waves can grow on them,  
 409 while longer waves are more unstable when the fronts are distant. For large  $\omega_1$ , the resonance  
 410 is favored between the intermediate and outer vorticity waves. When  $\omega_0 \neq 0$ , the horizon-  
 411 tal vorticity shear and the instability are increased for negative  $\omega_0$  and decreased when  $\omega_0 \approx 1$ .

412

413 Secondly, we have studied the nonlinear evolutions of the perturbed vortex. This has con-  
 414 firmed the linear theory predictions in terms of growth rates and spatial structure of the most  
 415 unstable perturbation. The nonlinear evolutions has also shown the importance of wave-wave  
 416 interaction in the development of the perturbation. More specifically,

- 417 • when a perturbation with a given wavenumber  $l$  is more unstable linearly than its neigh-  
 418 bors ( $l - 1$  and  $l + 1$ ), this perturbation can grow nonlinearly and end up breaking, via the  
 419 amplification of the harmonics of the initial wave. This leads in particular to the forma-  
 420 tion of the multipoles with a central vortex and several peripheral vortices. An example  
 421 is shown on figure 12(c) with a short wave affecting mostly the vorticity annulus.
- 422 • when several perturbations with close wavenumbers ( $l - 1, l, l + 1$ ) have comparable  
 423 growth rates, these waves interact and lead to a complex breaking of the initial vortex.  
 424 This is the case shown in figure 12(b) where several waves break on the vortex core and  
 425 intermediate vorticity contour, leading to the formation of asymmetric vortices at the  
 426 center of the end-state.

427

428 The nonlinear evolution also yielded spatially confined end-states (for non-negative  $\omega_0$ ). This  
 429 is explained by the absence, in these cases, of dipolar vortices that can drift away from the  
 430 center of the plane. On the contrary, when  $\omega_0 < 0$ , such dipoles can be formed and expel  
 431 vorticity outwards (see for instance, figure 12(d)).

432

433 Finally, we have shown instances of robust multipole formation, in particular with  $N = 8$   
 434 satellites, and we have provided a simple analytical condition for their existence.

## 435 6.2. Comparison with previous studies of unstable shielded vortices

436 Imposing that all vorticity patches be like-signed makes a clear difference with former studies  
 437 of vortex stability, when the multi-front vortices are (so-called) “shielded” or “neutral”, that  
 438 is, when they include an area with opposite-signed vorticity, or when their total circulation  
 439 vanishes. In particular, our results for vortices with like-signed core and annulus, are very  
 440 different from the two cases of :

- 441 - a two-contour shielded vortex (Carton *et al.* 1989) which often breaks into dipoles;
- 442 - a three-contour shielded vortex with zero total circulation (e.g.  $\omega_0 = 0$  and  $\omega_1 = 1/(r_0^2 - r_1^2)$ ),  
 443 a case studied by Morel and Carton (1994), for which the multipoles formed are mostly  
 444 transient for  $l > 3$ .

445

446 Now, we compare our results with those by Reinaud and Dritschel (2019), who studied the  
 447 instability of a torus of uniform vorticity in a three-dimensional quasi-geostrophic model. In  
 448 some instances, they added a sphere of vorticity at the center of the torus. Figure 19 compares  
 449 the growth rates between our model and theirs. Their parameters can be related to ours via:  
 450  $q_c = 1$ ,  $q_0 = \omega_1$ ,  $r_0 = (r_0 + r_1)/2$ , their  $r_0$  is our  $(r_1 - r_0)/2$  and  $\omega_0 = 0$ . Though we can note  
 451 minor differences, the comparison is fair between the most unstable modes and their growth  
 452 rates in the two models, taking into account that in two dimensions, the vorticity fronts are  
 453 closer to each other, than in three dimensions. Also in three dimensions, stratification tends  
 454 to damp barotropic (horizontal shear) instability.

455 Concerning nonlinear evolutions, the study by Reinaud and Dritschel (2019) indicates that  
 456 multipoles with  $l = 4$  or  $l = 5$  are stable, while they are unstable with  $l = 3$  and  $l \geq 6$  in the  
 457 absence of a central spherical vortex, while the vortices with  $l \geq 6$  are stabilized in its presence.

458

459 In figures 20,21,22 and 23, we can observe similarities and differences between our plots and

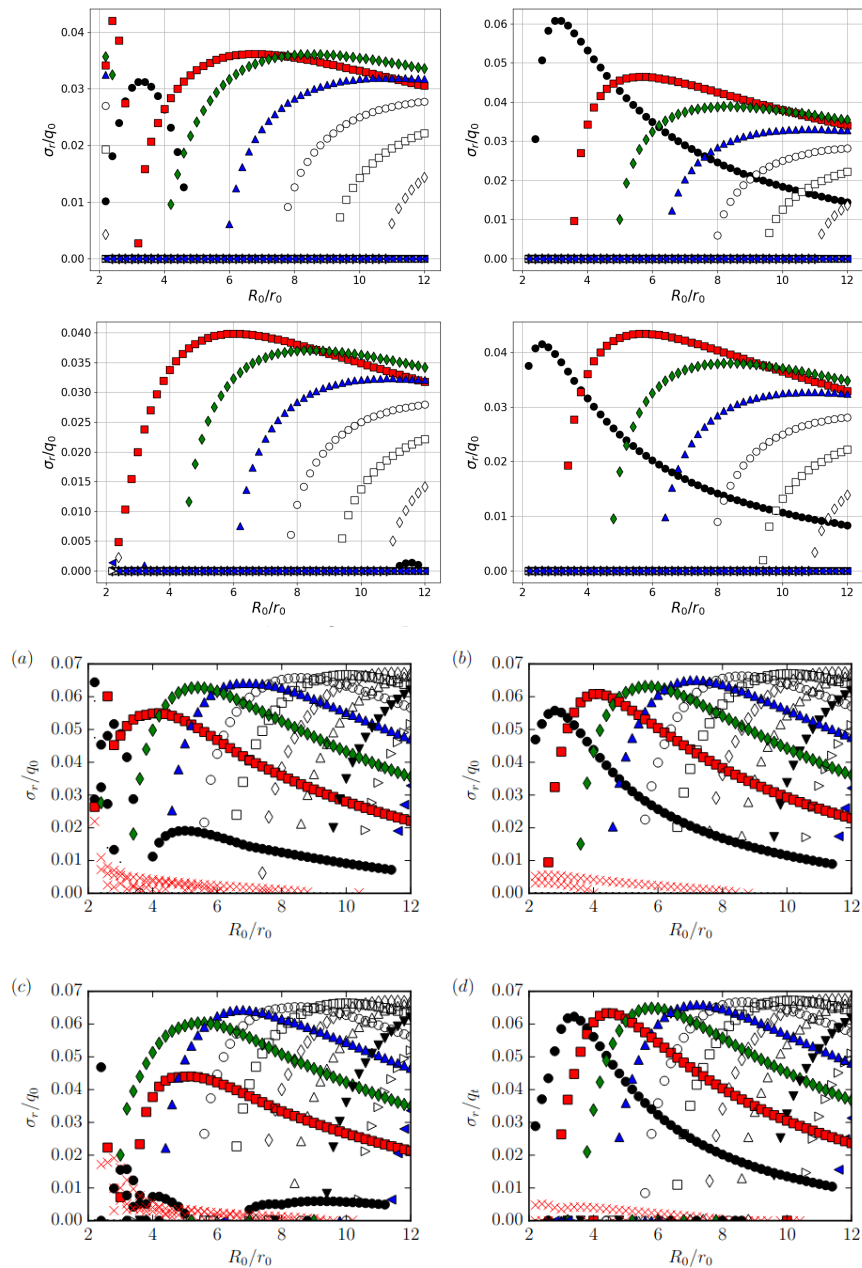


FIGURE 2. Growth rates  $\sigma_r$  of the unstable modes vs the torus normalised radius  $R_0/r_0$  for  $2.2 \leq R_0/r_0 \leq 12$ , with  $\Delta(R_0/r_0) = 0.2$ , and for a central spherical vortex of radius  $r_c = r_0$ . The PV ratio between the central vortex and the torus is (a)  $q_c/q_0 = 1$ , (b)  $q_c/q_0 = -1$ , (c)  $q_c/q_0 = 3$  and (d)  $q_c/q_0 = -3$ . Symbols indicate the azimuthal wave number of the mode:  $m = 1 \times, 2 \bullet, 3 \blacksquare, 4 \blacklozenge, 5 \blacktriangle, 6 \circ, 7 \square, 8 \diamond, 9 \triangle, 10 \blacktriangledown, 11 \triangleright, 12 \blacktriangleleft$ .

Figure 19. Comparison of the growth rates in our model (top) for  $q_c/q_0 = 1$  (top left),  $q_c/q_0 = -1$  (top right),  $q_c/q_0 = 3$  (bottom left),  $q_c/q_0 = -3$  (bottom right), with those in the toroidal vortex model of Reinaud and Dritschel (2019), their figure 2.

460 those of the article by Reinaud and Dritschel (2019):

- 461 • Unstable modes are not exactly the same (generally one order higher in our model, due
- 462 to the closest boundaries mentioned earlier).
- 463 • If the growth rates are close in the two models for  $r_0 = 2$ , they are surprisingly very
- 464 different for  $r_0 = 4$ . We cannot yet account for this difference.

- 465 • For  $r_0 = 6$  the first mode is 5 but the system slowly evolves towards a four-vortex  
466 compound.

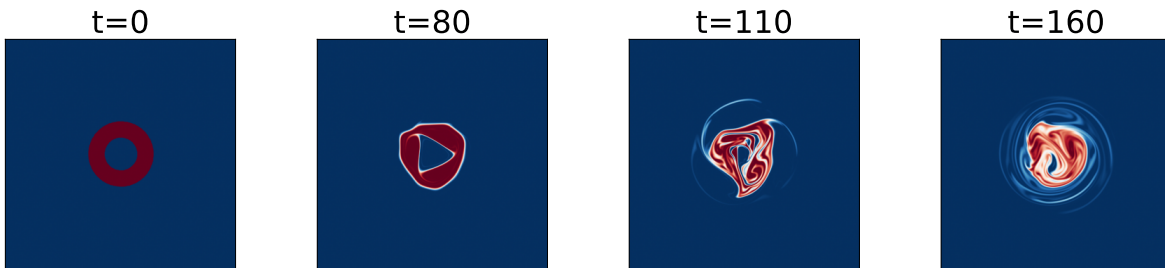


Figure 20. Time-series of 2D vorticity maps for an annulus with  $r_0 = 2$

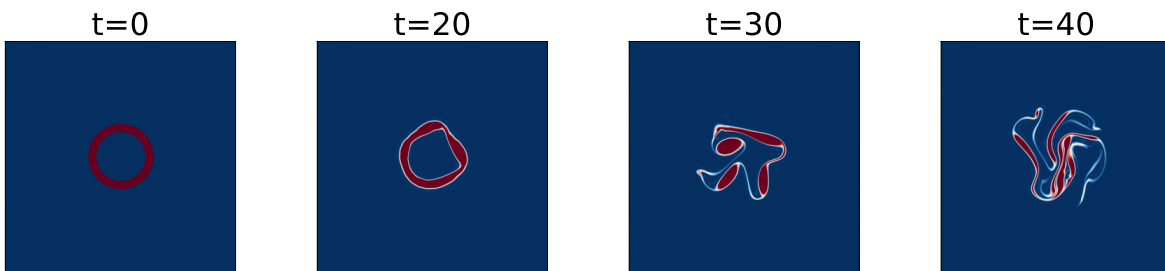


Figure 21. Time-series of 2D vorticity maps for an annulus with  $r_0 = 4$

467 In particular, figure 22 shows a sequence of such transitions. The annulus evolves into a  
468 6-vortex system, then a 5-vortex system, and, after many rotations, ends up as a 4-vortex  
469 association.

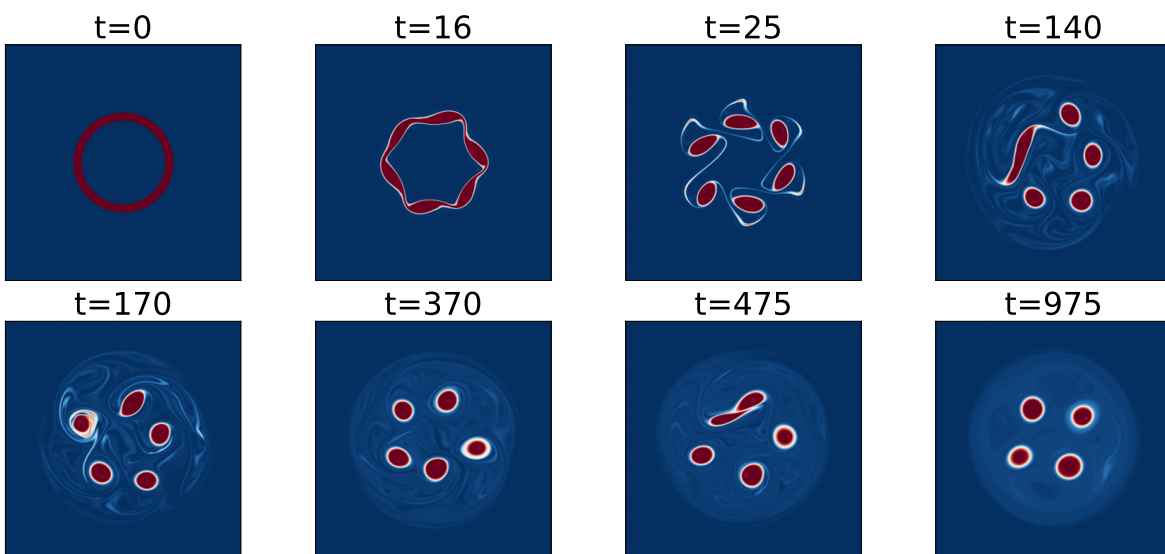


Figure 22. Time-series of 2D vorticity maps for an annulus with  $r_0 = 6$ .

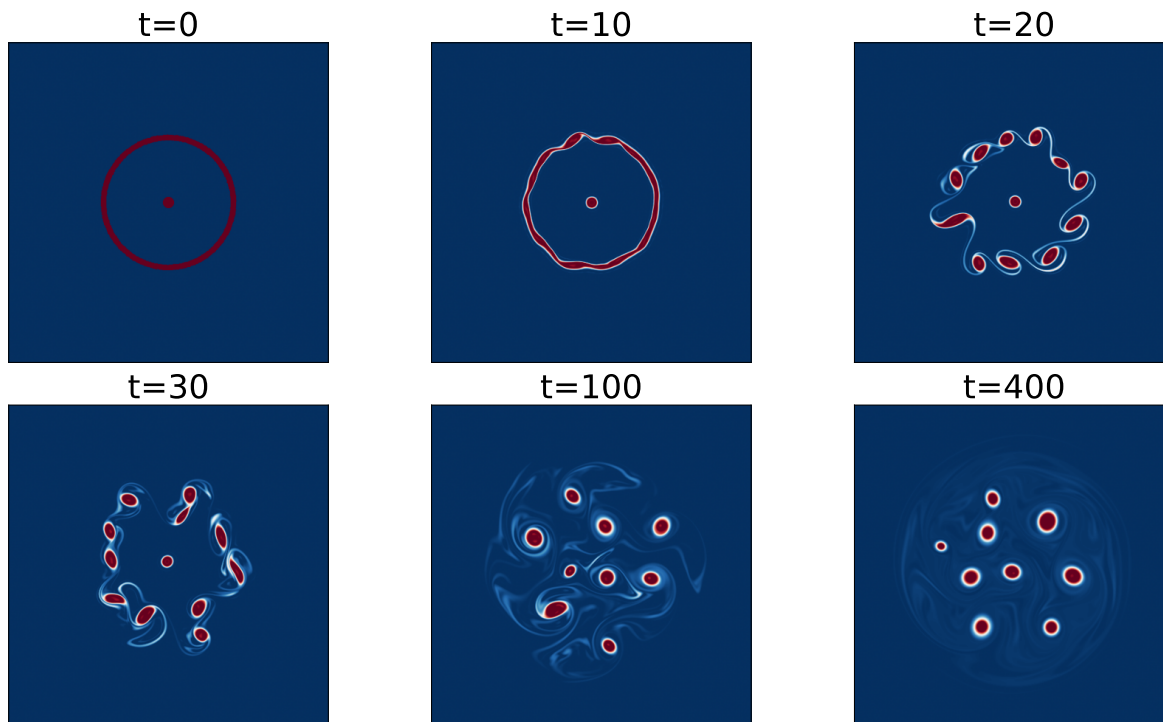


Figure 23. Time-series of 2D vorticity maps for an annulus with  $r_0 = 12$  and  $q_c/\omega_0 = 1$ .

### 470 **6.3. Comparison with space probe observations of the Jupiter polar vortices**

471 From the data in Ingersoll *et al.* (2022), the radius of the central vortex at the North pole  
 472 of Jupiter is 1000 km. Juno images show that all vortices around Jupiter's North pole have  
 473 similar radii, and that the center of the peripheral vortices lies about 7000-8000 km from the  
 474 pole. In our model, these values lead to  $r_0 = 6.7$  and  $r_1 = 8.7$ .

475 The surrounding vorticity measured by Juno leads approximately to  $\omega_0 = -0.075$  in our model,  
 476 when  $\omega_1 = 1$  : with these parameters, the maximal growth rate is obtained for  $l = 4$ . This  
 477 result is more appropriate to the South pole of Jupiter than to its North pole.

478 For a better agreement with the Juno observations, more ingredients should be added, in  
 479 particular, a finite radius of deformation.

480 In Dritschel (2021), good works are also done modeling vortices around Jupiter's poles with a  
 481 Point-vortex system.

## 482 **7. Conclusions and openings**

483 This study was originally motivated by the observations of vortex multipoles at the poles of  
 484 Jupiter, but the very simple framework used here, allowing many analytical calculations, is  
 485 not sufficient to explain the observations. Clearly, three dimensional effects come into play  
 486 for Jupiter polar vortices. Still, a detailed knowledge of the internal structure of the Jupiter  
 487 atmosphere, the exact 3D structure of the polar vortices and a fairly accurate value of this  
 488 deformation radius, are not completely available. Recent studies mention values ranging from  
 489 250 km to 1500 km for  $R_d$ . This implies that an extension of our study to finite  $R_d$  has to  
 490 take it into account as a supplementary parameter to be varied. This extension is under way,  
 491 but will be the subject of a forthcoming paper. Without providing details, it is known that,  
 492 with a finite  $R_d$ , the external velocity fields of vorticity patches decay exponentially rather  
 493 than inversely, with  $r$ . This will damp the instability of circular vortices with distant core and

annuli. As a consequence this will favor the growth of perturbations with high wavenumbers when the vorticity fronts are close to each other. Then, the instability of vortex multipoles will also be diminished.

This extension to a more complex framework is necessary to convincingly present circular vortex instability as an alternative to vortex aggregation, for the formation of the multipoles at the Jupiter poles. Even more complex frameworks can include horizontal variations of temperature (as in the thermal quasi-geostrophic model), or vertical stratification. But again, more data will be necessary to adequately fit the model parameters to precise values.

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### Appendix A: Asymptotic cases of linear instability; analytical study

For barotropic instability to occur, the Rayleigh criterion must be satisfied, following Rayleigh (1895). Here, we investigate analytically the vorticity profiles that marginally satisfy the Rayleigh criterion. To this aim, we expand the matrix  $A$  in  $\epsilon$ , the difference in  $\omega_0, \omega_1$  between a stable state according to the Rayleigh criterion and a possibly unstable state. Below, we study two simple cases :

#### A.1. Case with a small outer vorticity : $\omega_0 = 0, \omega_1 = \epsilon$

In this case, approximations give

$$A = \frac{1}{2l} \begin{pmatrix} l-1 & r_0^{1-l}\epsilon & -r_1^{1-l}\epsilon \\ -r_0^{-l-1} & \frac{l}{r_0^2} + \epsilon & -\left(\frac{r_0}{r_1}\right)^{l-1}\epsilon \\ -\frac{1}{r_1^{l+1}} & \left(\frac{r_0}{r_1}\right)^{l+1}\epsilon & \frac{l}{r_1^2} + \epsilon(l-1 + \frac{l}{r_1^2}). \end{pmatrix} \quad (\text{A.1})$$

The equation obeyed by  $\mu = 2lc$  is the following one, after canceling terms in  $\epsilon$  of order  $> 2$  :

$$(\tau - \mu)((\tau + 1)\rho - \mu)((\tau + 1)\xi - \mu) + \epsilon \left[ \left( \rho^l + \tau - \mu \right) ((\tau + 1)\xi - \mu) + \left( -\xi^l + \tau - \mu \right) ((\tau + 1)\rho - \mu) \right] = 0, \quad (\text{A.2})$$

with  $\tau = l - 1, \rho = 1/r_0^2, \xi = 1/r_1^2$ .

When  $\epsilon = 0$ , the eigenvalues are the diagonal terms :  $\mu_0 = \tau, \mu_1 = (\tau + 1)\rho, \mu_2 = (\tau + 1)\xi$ . All the roots are simple and different ( $l - 1 \geq 1 > \frac{1}{r_0^2} > \frac{1}{r_1^2}$  or  $l - 1 = 0 \neq \frac{1}{r_i}$ ), and by continuity of the roots, the polynomial still has real roots for  $\epsilon$  small enough : the system is stable for  $\epsilon$  small enough.

This indicates that the Rayleigh criterion for instability is necessary, but not sufficient.

526 **A.2. Case with a small intermediate vorticity** :  $\omega_0 = 1 + \varepsilon$ ,  $\omega_1 = 1$

527 In this case, approximations give

$$A = \frac{1}{2l} \begin{pmatrix} l + \varepsilon & -\varepsilon r_0^{1-l} & -r_1^{1-l} \\ \frac{1}{r_0^{l+1}} \varepsilon l + \varepsilon \left( l - \frac{l}{r_0^2} - 1 \right) & & -\left( \frac{r_0}{r_1} \right)^{l-1} \\ \frac{1}{r_1^{l+1}} \varepsilon & -\left( \frac{r_0}{r_1} \right)^{l+1} \varepsilon & l - 1 + \frac{l\varepsilon}{r_1^2} (r_0^2 - 1). \end{pmatrix} \quad (\text{A.3})$$

528 Here again for  $\varepsilon = 0$  any normal mode is linearly stable on the vortex.

529 When the matrix is approximated at first order in  $\varepsilon$ , several terms are canceled in the deter-  
530 minant. Then,  $\mu$  must satisfy the following equation :

$$(l - \mu)^2(l - \mu - 1) + \varepsilon \left[ \frac{1 - r_0^{2l}}{r_1^{2l}} (l - \mu) + \right. \\ \left. (l - \mu)(l - 1 - \mu) + (l - \mu)(l - 1 - \mu) \left( l - \frac{l}{r_0^2} - 1 \right) + \frac{l(l - \mu)^2(r_0^2 - 1)}{r_1^2} \right] = 0. \quad (\text{A.4})$$

531 Setting  $x := l - \mu$  we obtain the equation :

$$x \left[ x(x - 1) + \varepsilon \left( \frac{1 - r_0^{2l}}{r_1^{2l}} + (x - 1) \left( l - \frac{l}{r_0^2} \right) + \frac{l}{r_1^2} x(r_0^2 - 1) \right) \right] = 0, \quad (\text{A.5})$$

532 for which  $\mu = l$  is an eigenvalue.

533 Let us denote :

- 534 •  $\alpha := \frac{l(r_0^2 - 1)}{r_0^2}$ ,
- 535 •  $\beta = \frac{1 - r_0^{2l}}{r_1^{2l}}$ ,
- 536 •  $\gamma = \frac{l(r_0^2 - 1)}{r_1^2}$ ,

537 then, the equation becomes then

$$x^2 + [\varepsilon(\alpha + \gamma) - 1]x + \varepsilon(\beta - \alpha) = 0 \quad (\text{A.6})$$

538 In this case, the equation has a double root for  $\varepsilon = 0$ ; this renders the evolution unpre-  
539 dictable without calculating higher orders than unity. To illustrate this problem, consider the  
540 equation  $x^2 + \varepsilon x + \varepsilon^2 = 0$ ; it has real roots 0 and  $-\varepsilon$  at  $O(\varepsilon)$ . But it has complex roots whose  
541 imaginary part is proportional to  $\varepsilon$  when considered at  $O(\varepsilon^2)$ .

542

543 Numerically we observe that the instability depends on  $r_0$  and  $r_1$ . Most often, when this  
544 vortex is unstable, the growth rate is proportional to  $\varepsilon$ , but stability was found for  $r_1 = 2.5$   
545 and  $r_0 \geq 2.1774$ .

## 546 Appendix B: Detailed tables of nonlinear evolutions of unstable vortices, and of final 547 vortex states

548 In this appendix, we provide more detailed tables than figures 13, 14, 15 for the final vortex  
549 states, after the nonlinear evolution of the linearly unstable circular vortices. Using a large  
550 number of numerical simulations, we can identify in which case, vortex multipoles can form,  
551 and in particular, vortices with 5 or more satellites, as observed at the poles of Jupiter.

552

553 For  $q_c = 1.0, \omega_0 = -1.0, \omega_1 = 1.0$  (not shown), most nonlinear evolutions are strongly  
554 irreversible, leading to filamentation, a disordered array of vortices or turbulence.

$r_1 \backslash r_0$	1.3	1.5	2	2.5	3	3.5	4	5	6
1.6	M4C/CV+Tu	—	—	—	—	—	—	—	—
1.8	—	1CV+3PV	—	—	—	—	—	—	—
2	M4C/1CV+Tu	M3C/Brk	—	—	—	—	—	—	—
2.2	—	—	FiPc→Fi+Tu/Axisym	—	—	—	—	—	—
2.5	M4C/1CV+M6P	M3C/Brk	FiPV/Tu	—	—	—	—	—	—
2.7	—	—	—	15PV/Brk	—	—	—	—	—
2.8	—	—	—	12PV/Brk	—	—	—	—	—
3	M5C/1CV+M7P	M4C/Brk	—	FiPV/Tu	—	—	—	—	—
3.1	—	—	—	—	21PV/Brk	—	—	—	—
3.3	—	—	—	—	11PV	—	—	—	—
3.4	—	—	—	—	Brk	—	—	—	—
3.8	—	—	—	—	—	10PV	—	—	—
4	M4C/1CV+M4P	M4C/Brk	—	—	—	M4P/Tu	—	—	—
4.5	—	—	—	—	—	—	M4P/Tu	—	—
5	M4C/CV brk	M4C/Brk	—	—	—	—	—	—	—
5.5	—	—	—	—	—	—	—	8PV	—
6.5	—	—	—	—	—	—	—	—	12PV/Tu
7	—	—	—	—	—	—	—	—	4PV/Tu

Table B1. Nonlinear evolutions and final vortex states - under the form (NE/FVS) - for  $\omega_c = 1.0, \omega_0 = 0, \omega_1 = 1.0$

$r_1 \backslash r_0$	1.3	1.5	1.8	2	2.5	3	3.5	4	5
1.6	M5C/Fi	—	—	—	—	—	—	—	—
1.8	—	M4C/6PV	—	—	—	—	—	—	—
2	M4C/Tu	M4C/Axisym	1CV+8PV	—	—	—	—	—	—
2.2	—	—	—	10PV/Me	—	—	—	—	—
2.5	M3C/Brk	M3C/Axisym	—	4PV/Axisym+Tu	—	—	—	—	—
2.7	—	—	—	—	10PV→Me	—	—	—	—
2.8	—	—	—	—	7PV	—	—	—	—
3	M3C/Tu	M3C/Tu	—	—	1CV+3PV	—	—	—	—
3.1	—	—	—	—	—	1CV+9PV	—	—	—
3.3	—	—	—	—	—	1CV+8PV	—	—	—
3.4	—	—	—	—	—	7PV	—	—	—
3.8	—	—	—	—	—	—	Tu	—	—
4	—	M3C/Tu	—	—	—	—	M4P/Tu	—	—
4.5	—	—	—	—	—	—	—	1CV+6PV	—
5	—	—	—	—	—	—	—	—	—
5.5	—	—	—	—	—	—	—	—	1CV+4PV

Table B2. Nonlinear evolutions and final vortex states - under the form (NE/FVS) - for  $\omega_c = 1.0, \omega_0 = 0, \omega_1 = 10.0$

$r_1 \backslash r_0$	1.3	1.5	2.5	3	3.5	4
1.6	M4C/Tu	—	—	—	—	—
1.8	—	3PV+T	—	—	—	—
2	M4C/Tu	3M+T	—	—	—	—
2.5	M4C/1CV	1CV	—	—	—	—
2.7	—	—	1CV+Fi	—	—	—
2.8	—	—	1CV+Fi	—	—	—
3.0	M4C/1 CV	—	1CV+Tu	—	—	—
3.1	—	—	—	1CV+13PV	—	—
3.3	—	—	—	1CV+10PV	—	—
3.4	—	—	—	1CV+8PV	—	—
3.8	—	—	—	—	1CV+10PV	—
4.0	MC4C/1CV	—	—	—	1CV+7CV	—
4.5	—	—	—	—	—	1CV+9PV
5.0	M4C4/1CV	—	—	—	—	PV Brk

Table B3. Nonlinear evolutions and final vortex states - under the form (NE/FVS) - for  $q_c = 1.0, \omega_0 = -0.1, \omega_1 = 1.0$

555 For  $q_c = 1.0, \omega_0 = 0, \omega_1 = -0.1$  (a shielded vortex, table not shown here), most evolutions  
 556 yield final tripolar or quadrupolar vortices, as it has been reported in the literature.

CV	Central Vortex	nPV	n Peripheral Vortices
MnP	Mode n perturbation on vortex periphery	MnC	Mode n perturbation on central vortex
Brk	Vortex breaking	Tu	Turbulence
Fi	Vortex filamentation	Axisym	Vortex axisymetrization

Table B4. Table of acronyms for the final vortex states

■	Core Breaking : regular final state
■	Core Breaking : irregular final state
■	Peripheral Breaking : regular multipole formation
■	Peripheral breaking : peripheral turbulence and irregularity
■	General vortex breaking / unbounded evolution

Table B5. \*

Color code for vortex evolutions

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